Call for Proposals: Scientific Expeditions – Amazon+10 Initiative		
Types of support:	As per each signatory's rules – Annex II and IX	
Term:	Up to 36 months	
Submission deadline:	April 29, 2024	
<b>Results announced:</b>	August 23, 2024	
<b>General contact – CONFAP:</b>	See Item 14	
Specific contacts:	See Item 14 and Annex II and IX	

The National Council for Scientific and Technological Development (CNPq) and the National Council of State Funding Agencies (CONFAP), on behalf of its members, hereby publish this Call and invite anyone interested to submit proposals in accordance with the terms established below.

## **1. Purpose – Introduction**

## 1.1. Amazon+10 Initiative

The purpose of the <u>Amazon+10 Initiative</u> is to support scientific research and technological development in Legal Amazon, focusing on a deeper understanding of nature-society interactions for sustainable and inclusive development in the region. Studies supported by the Initiative are to advance scientific knowledge on the region and should attract public and private investment that consistently promotes the welfare of the region's population in the long term, in coordination with relevant public policy stakeholders.

## **1.2.** Call for Proposals to Support Scientific Expeditions

1.2.1. The Amazon is world-famous for its outstanding biodiversity, with genetic resources still largely unmapped. The collection of scientific data and materials, biological and mineral specimens, objects belonging to Indigenous and popular culture, present and past, and the traditional knowledge associated with them can enable a better use of the region's substantial natural and social resources in the future.

1.2.2. The organization of multidisciplinary scientific expeditions is important to encourage such collection activities around scientific questions that are relevant to the region, provided all the Brazilian rules for this type of research are respected.<sup>1</sup> Thus, there are mandatory conditions for the scientific expeditions to be supported under this call for proposals: (i) correct safekeeping and cataloging of biological material as well as traditional knowledge systems and materials at Brazilian institutions located in Legal Amazon; (ii) the publication and sharing of the results of the expeditions with the Brazilian scientific community, in compliance with Article 8 (j) of the Convention on Biological Diversity; alongside (iii) compliance with the formal requirements for proposals and justification for their contributions to the region's scientific and technological development.

<sup>&</sup>lt;sup>1</sup> <u>https://agencia.fapesp.br/study-reveals-areas-of-brazilian-amazon-where-no-ecological-research-has-been-done/49973</u>

### **1.3.** The aims and guidelines of this Call are to:

a) Support the organization of scientific expeditions aimed at expanding of knowledge about the local social and biological diversity;

b) Build institutional research partnerships between organizations in the Amazon and outside the region, connecting researchers of different affiliations;

c) Foster the strengthening of local research infrastructure and training of professionals in taxonomy, systematics, museology and ethnobiology, under the coordination of teams based in the Amazon;

d) Encourage scientific research in remote and understudied parts of the Amazon;

e) Encourage scientific research that proposes ways to address the challenges of studying less known and less studied taxonomic groups;

f) Encourage co-creation of proposals with traditional knowledge holders from local indigenous peoples, *quilombolas*, and riparian communities.

g) Promote activities involving education, popularization and/or scientific diffusion to different kinds of audience in all sectors of society, and involving specialists, groups and institutions engaged in formal and non-formal education (e.g. schools, extension units, museums, science centers, zoos, botanic gardens, aquariums, conservation units' visitor centers, and non-governmental organizations).

### 2. Timetable

Event	Date	
Announcement of call at CONFAP Forum, in Federal Official Gazette	November 16, 2023	
(Diário Oficial da União) and on CNPq website		
Deadline for contesting the call for proposals	November 24, 2023	
Deadline for international agencies to adhere to the call	December 31, 2023	
Call roadshow and webinars	February and March	
	2024	
Deadline for submitting proposals	April 29, 2024	
Analysis of proposals	April 30 to July 30, 2024	
Announcement of preliminary results	July 31, 2024	
Deadline for filing of appeals	August 9, 2024	
Announcement of decision and final result in <i>Diário Oficial da União</i> and	August 23, 2024	
on participants' websites		

#### 3. Priority research areas for this Call

3.1. Research proposals submitted in response to this Call must involve scientific expeditions designed to extend knowledge of sociobiodiversity and biodiversity in the Amazon. Research teams must propose collecting data, biological and mineral specimens, and objects belonging to past and present Indigenous native and popular culture, always in connection with **one or more** of the following priority research themes:

3.1.1. Understanding habitats and their species;

3.1.2. Biodiversity as a source of livelihoods and its bioeconomic potential;

3.1.3. Conservation and restoration of natural capital;

3.1.4. Studies of the the tangible and intangible heritage of the ancestral, Indigenous and traditional peoples of the Amazon and their associated knowledge systems;

3.1.5. Documenting and conserving Indigenous languages of the Amazon and associated knowledge systems;

3.1.6. Studying sustainable uses of natural resources, institutional arrangements for their conservation, and territorial dynamics of Indigenous and traditional communities;

3.1.7. Integrating field data with emerging technologies.

3.2. Approval of proposals will take into account – in terms of the number of selected proposals – a balance among the research priorities listed in 3.1.

### 4. Partnerships - State Research Foundations (FAPs) and other institutions

4.1. Approved proposals will be funded in the aggregate amount of BRL 59,200,000.00 (fifty-nine million and two hundred thousand reais), of which BRL 30,000,000.00 (thirty million reais) will come from CNPq's budget, as shown in Annex I, plus contributions by each of the State Research Funding Agencies (FAPs), as shown in Annex II, plus  $\notin$  30,000.00 (thirty Thousand euros), £ 5,000,000.00 (five million British pounds), and CHF 1,000,000.00 (one million Swiss francs), coming from international agencies as described in Annex IX. Funding will be disbursed in accordance with budgetary and financial availability and as established in the call. The following foundations and agencies are participants in this Call:

AGENCY	STATE / COUNTRY	CONTRIBUTION
CNPq	Brazil	R\$ 30,000,000.00
FAPAC	Acre	R\$ 50,000.00
FAPEAL	Alagoas	R\$ 150,000.00

FAPEAP	Amapá	R\$ 300,000.00
FAPEAM	Amazonas	R\$ 2,000,000.00
FAPDF	Federal District	R\$ 500,000.00
FAPEG	Goiás	R\$ 600,000.00
FAPEMA	Maranhão	R\$ 400,000.00
FAPEMAT	Mato Grosso	R\$ 2,000,000.00
FUNDECT	Mato Grosso do Sul	R\$ 500,000.00
FAPESPA	Pará	R\$ 3,000,000.00
FAPESQ	Paraíba	R\$ 200,000.00
Fundação Araucária	Paraná	R\$ 4,000,000.00
FACEPE	Pernambuco	R\$ 150,000.00
FAPERJ	Rio de Janeiro	R\$ 1,000,000.00
FAPERGS	Rio Grande do Sul	R\$ 600,000.00
FAPERO	Rondônia	R\$ 400,000.00
FAPESP	São Paulo	R\$ 12,700,000.00
FAPT	Tocantins	R\$ 450,000.00
FAPERR	Roraima	R\$ 200,000.00
Bavarian Academic Center for Latin America (BAYLAT)	Germany	€ 30,000.00
British Council	United Kingdom	£ 1,000,000.00
Swiss National Science Foundation (SNSF)	Switzerland	CHF 1,000,000.00
UK Research and Innovation (UKRI)	United Kingdom	£ 4,000,000.00

4.2. Other FAPs and institutions (**in Brazil and abroad**) may participate in this Call by informing CONFAP of their interest no later than December 31, 2023.

4.2.1. **Important:** Participation by a foreign researcher in a scientific expedition requires prior authorization, which must be requested from the appropriate body by a Brazilian collaborator as explained on <u>CNPq's</u> website, and the Brazilian members of the research team must obtain the requisite licenses (for collection, access to genetic material, remittance of material abroad etc).

4.2.2. For activities of data collection and scientific material, biological and mineral specimens, including paleontological data, present and ancient artifacts of native and popular culture, obtained by resources and techniques aimed at study, research and scientific diffusion, **conducted in Brazil with the participation of <u>foreign researchers</u>**, it is recommended to observe the guidelines listed in: https://www.gov.br/cnpq/pt-br/acesso-a-informacao/acoes-e-programas/servicos/copy\_of\_expedicao-cientifica.

4.3. The main aim of the participating FAPs is to stimulate collaboration among researchers from different states by funding for up to thirty-six (36) months collaborative projects that advance scientific and technological knowledge.

4.4. The FAPs involved in each proposal will contribute proportionally to research efforts of researchers from their respective states. **The amount of funding by each FAP need not be identical**. The stages of the research conducted under the responsibility of the different teams may have different costs and durations, provided they are shown to be mutually coherent and interconnected. Each team will be required to achieve the objectives for which it is responsible in accordance with the timetable for the research project to which it belongs.

4.5. CNPq will only fund researchers formally affiliated with institutions located in the states of Legal Amazonia (Amazonas, Acre, Rondônia, Roraima, Pará, Maranhão, Amapá, Tocantins, and Mato Grosso).

## **5. Proposal structure**

5.1. The mandatory proposal structure is described in Annex VI – Research Proposal Template, in which proponents must insert the items listed below (5.2. to 5.4.). Proposals that do not comply with these instructions will not be prioritized in this Call.

5.1.1. Proposals must be submitted to CNPq via the <u>Carlos Chagas Platform</u> by a Principal Investigator formally affiliated with an institution located in one of the states of Legal Amazonia.

## 5.2. Research team

5.2.1. Each proposal must have Principal Investigators from at least two states for the FAPs participating in this Call, **one of whom must be affiliated with** a higher education or research institution based in a state of Legal Amazonia (Amazonas, Acre, Rondônia, Roraima, Pará, Maranhão, Amapá, Tocantins, and Mato Grosso).

5.2.2. The person responsible for submitting the proposal via the Carlos Chagas Platform must:

a) Have registered an updated résumé with the Lattes Platform by the deadline for submitting proposals;

b) Have a PhD;

c) Be the Principal Investigator for the project for CNPq's purposes;

d) Be formally affiliated with the institution that will execute the project, which must be located in a state of Legal Amazon (Amazonas, Acre, Rondônia, Roraima, Pará, Maranhão, Amapá, Tocantins, and Mato Grosso).

5.2.2.1. The proponents, when indicating regional or foreign researchers, must consult the specific eligibility rules and participation modalities of the respective Research Support Foundations (FAPs) that have joined or may join the call - Annex II, as well as for financing from foreign agencies, they must refer to the specific rules in Annex IX.

5.2.2.2. In the proposal submission form available on the Carlos Chagas Platform, the proponent must declare for all legal purposes that they are not directly or indirectly in breach of any obligation to CNPq, the Federal Government, the State Government or the FAP to which they are applying, on pain of denial of their application.

5.2.2.3. In the event of a false declaration detected at any time, CNPq will take appropriate steps to investigate any evidence of a crime of misrepresentation.

5.2.3. The project team must:

a) Include at least one person with traditional knowledge relating to the study area, hereafter referred to as PIQCT, the Portuguese-language acronym for Indigenous People, Quilombolas and Traditional Communities. Their inclusion must be mentioned in the research proposal, especially in what concerns the plan for access to the study area and execution of the research activities there; b) Show how the project will strengthen ST&I infrastructure in the region by training and attracting human resources from and to the region;

c) Show who or which actors/partners of the team will be responsible for executing the scientific communication plan.

5.2.3.1. Inclusion of foreign researchers funded by agencies abroad that join this Call (item 4 above) is **not mandatory**. Proposals that involve foreign researchers and/or institutions must comply with all the applicable legislation, **including <u>DECREE</u> 98,830**, dated January 15, 1990.

5.2.3.2. Researchers from states whose **FAPs or foreign agencies which are not participants in the Call** may be members of the research team for a project but must provide proof that they have obtained funding of their own for their part in the project.

# 5.3. Responsible Institution

The institution responsible for executing the project is the institution with which the proponent is affiliated and must be headquartered in one of the states of Legal Amazonia (Amazonas, Acre, Rondônia, Roraima, Pará, Maranhão, Amapá, Tocantins, and Mato Grosso).

5.3.1. The institutions to which the other members of the research team supported by FAPs are affiliated will be considered co-executors in their respective states.

## 5.4. Research projects

a) Each proposal must show how it aims to overcome **spatial data biases.** Although the Amazon is one of the world's largest and intact forests, it is also one of least studied in biological terms. Its vastness, diversity and limited access make documenting its biodiversity extremely challenging. An analysis of species localization data in global databases evidences a significant spatial bias<sup>2</sup> toward urban areas, research centers and major access routes, such as roads or rivers. At the same time, there is little or no data for any taxonomic category in certain parts of the Amazon Basin, or at least no digitally accessible data.

b) Proposals must also show how they will overcome **biases in taxonomic categories**. An analysis of taxonomic categories evidences significant biases in that most of the data relates to plants and birds. There is far less data for groups such as butterflies, and immensely diverse groups such as fungi and bacteria are almost entirely unknown. There is also a large number of species that have not yet been described, even in well-known taxonomic categories.

c) **Plan for accessing the territory**: Proposals must contain a timetable of actions, expeditions and data or material collections in the studied territory. The research team must include people who will support the planned activities to assure the project's success.

d) **Plan for depositing collected material in the region's archives**: Proposals must include plans to catalogue all the collected material and to store it in institutions located in the Legal Amazon. Plans must also include how research results will be published and shared with the scientific community. Host institutions must sign an agreement letter to evidence their consent to safekeeping the collected material. Where applicable, they should specify whether molecular studies requiring appropriate collection and storage of tissue and/or DNA will be conducted, and whether these need to be associated with voucher specimens as a cataloguing aid.

e) **Communication plan**: Proposals must contain a succinct but well-structured scientific communication plan that includes delivering the results of the funded research to the local communities, e.g. via involvement with private and public schools, senior decision makers, and technical staff in municipal governments and companies.

## 6. Type of funding, eligibility for submission and fundable items

6.1. Approved projects will be funded by CNPq and the FAPs that are signatories to this Initiative, in accordance with the Memorandum of Understanding for Cooperation in Science, Technology and Innovation Activities signed by FAPs in several Brazilian states on November 9, 2017.

6.2. The person named to CNPq as the Principal Investigator will be responsible for receiving and managing all the funding granted by CNPq to support the research project. This person will

<sup>&</sup>lt;sup>2</sup> <u>https://www.gov.br/cnpq/pt-br/acesso-a-informacao/acoes-e-programas/servicos/copy\_of\_expedicao-cientifica/english</u>

distribute the funds to the other PIs affiliated with higher education institutions in Legal Amazonia that also applied for funding from CNPq in the same proposal.

6.3. Fundable items as defined in CNPq's rules are described in Annex III – Fundable Items – CNPq.

6.4. Research projects in each state of Brazil will be funded by the respective FAPs. The types of funding will be as described in Annex II. Proponents are not required to apply for equal amounts of funding from their FAPs, but should specify amounts in accordance with the needs of each local team, as defined in 5.2.1 above.

6.5. The **minimum amount** for each proposal submitted will be BRL 400,000.00 (four hundred thousand reais), considering the total amount applied for from all FAPs involved in the proposal plus CNPq's contribution. Proposals that do not specify this minimum amount will not be accepted and will not be analyzed on their scientific merits in this Call.

6.6. The projects to be funded in this Call may be conducted by public or private (nonprofit) higher education and/or research institutions, in accordance with the rules established by the specific FAP. The types of funding, fundable items and amounts to be disbursed by each participating FAP are detailed in **Annex II**.

6.7. The budget should include travel expenses (per diems as well as transportation) for the Principal Investigators in each state to attend two monitoring and evaluation (M&E) workshops to be organized by the Amazon+10 Initiative.

6.8. On signing the grant agreement, proponents assume sole responsibility for requesting, obtaining, holding and demonstrating all legal permits required for proper project execution. Permits, where applicable, are to be issued by the entities responsible for control and oversight of the research field and study area involved. General guidance on research involving scientific expeditions can be consulted at: <u>https://www.gov.br/cnpq/pt-br/acesso-a-informacao/acoes-e-programas/servicos/copy\_of\_expedicao-cientifica/english</u>.

### 7. Preparing and submitting proposals

7.1. Proposals must be submitted to CNPq via the internet using the form available online from the <u>Carlos Chagas Integrated Platform</u>.

7.2. Each proposal must comprise the following:

7.2.1. One proposed research project (as per item 5.5 above), prepared jointly by the proponents in accordance with the instructions in **Annex IV** – **Research Proposal Template**;

7.2.2. A detailed budget for each FAP, as per Annex II;

**7.2.3.** A detailed budget for CNPq, submitted by completing the form available for this purpose (Formulário de Propostas) on the Carlos Chagas platform.

7.2.3.1. Only researchers affiliated with institutions headquartered in Legal Amazonia can apply for funding from CNPq, via the Principal Investigator for the proposal.

7.2.4. All files must be submitted. The absence of any required file will automatically entail rejection of the proposal.

7.2.5. All files must be in the PDF OCR format and attached to the online proposal form (Formulário de Propostas), with a limit of 1MB (one megabyte).

7.2.6. If figures, graphics, charts, photographs or other illustrations are needed to clarify the proposal, they must fall within the overall 1 MB limit. Proposals that exceed this limit cannot be received by CNPq's online platform.

7.3. Proposals must be received by CNPq not later than 11:59 p.m. Brasília time on the date specified in the timetable (section 2 above).

7.3.1. Proponents are advised to submit proposals well in advance of the deadline, as CNPq cannot be held responsible for delivery failures due to technical problems or congestion.

7.3.2. Proposals submitted after the deadline will not be received by CNPq's electronic system.

7.4. Proponents are responsible for contacting CNPq and the FAPs and other participating agencies in time to obtain information or clarification.

7.4.1. Any impossibility of contacting CNPq or the FAPs and other participating agencies will not be accepted as a justification for failure to submit a proposal by the deadline, nor will the absence of a response from said entities.

7.5. The form must be completed with the following information:

- a) Identification of the proposal;
- b) Proponent's details;
- c) Participating institutions;
- d) Predominant knowledge area and related knowledge areas;
- e) Detailed budget;
- f) General information on the project in Portuguese and English or Spanish, including title, keywords, abstract and general purpose;
- g) Scientific communication plan;
- h) Information on team members.

7.6. All research institutions involved with the project, both Brazilian and foreign, must have previously registered with <u>CNPq's Directory of Institutions</u>.

7.6.1. CNPq's computerized system will not receive proposals linked to research institutions that have not <u>registered with the Directory of Institutions</u>.

7.7. The following must be registered before the proposal is submitted:

a) Currículo Lattes: the proponent and other team members with a Brazilian taxpayer number (CPF);

b) Currículo Lattes or ORCiD (Open Researcher and Contributor ID): the proponent and other team members without a Brazilian taxpayer number (CPF).

7.7.1. Institutions and team members cannot be considered project participants unless they have provided the Principal Investigator with a written statement of consent, which the PI must hold for safekeeping.

7.7.1.1. The person registered with CNPq as the Principal Investigator can be held civilly and criminally liable for wrongly naming team members.

7.8. An electronic receipt will be generated to serve as proof that the proposal has been submitted.

7.9. Only one proposal will be accepted per proponent.

7.10. Should a proponent submit more than one proposal, only the last proposal submitted before the deadline for submitting proposals will be analyzed.

7.11. If different proponents submit identical proposals, all of them will be rejected.

### 8. Analysis and selection of proposals

### 8.1. Stage I – Analysis by CNPq's and each FAP's technical-scientific staff

8.1.1. The first stage of the analysis will entail judging compliance with the rules of CNPq and each FAP on qualification, eligibility and format according to the type of funding requested, and compliance with the provisions of this Call.

8.1.2. This stage will also entail assessment of compliance with the rules of this Call. Proposals that don't comply with requirements of items 5.2.1. and 6.5. won't be considered in this call.

8.1.3. This stage consists of:

a) An analysis of compliance with the eligibility criteria and other provisions of this Call; noncompliance will entail rejection of the proposal concerned;

b) A review of the Appraisal Committee's assessment, if necessary.

8.1.3.1. The technical-scientific staff will analyze the Appraisal Committee's assessments and scorecard, and will issue a recommendation as decision support for the respective FAPs and for the President of CNPq.

8.1.3.2. The technical-scientific staff might, through a technical brief, point out budgetary items, inaccurate or untrue information, technical inconsistencies, misjudgments, and missing, modified or excluded elements that might, or not, justify rejecting the proposal.

8.1.3.3. In the event that the situation described in item 8.1.3.2. takes place, the technical-scientific staff will take steps to put the proposal on a sounder footing, recommend preparation of a second opinion, make an addendum to the first opinion and/or rectify the appraisal scorecard.

## 8.2. Stage II – Merit analysis

8.2.1. Qualified proposals will proceed to a merit analysis in which two or more researchers in the knowledge area in question will serve as ad hoc reviewers and will issue an assessment on the merits of the proposal.

8.2.2. After receiving the ad hoc assessments, proposals will be analyzed by the Appraisal Committee, a panel of experts appointed by each participating agency. The assessment criteria to be used by this committee are described in Annex VI – Assessment criteria.

8.2.2.1. The Appraisal Committee's composition and tasks will follow the provisions of CNPq's Normative Resolution RN 002/2015.

8.2.2.1.1. The members of the Appraisal Committee may not:

a) Analyze proposals if the project team includes their spouse, companion or direct or collateral relative by blood or marriage to the third degree of consanguinity;

b) Analyze proposals if they are involved in administrative or judicial litigation with any member of the project team or the spouse or companion of any member;

c) Analyze proposals involving conflicts of interest; and/or

d) Disclose the results of appraisals before they are officially announced by CNPq.

8.2.2.2. Proposals will be classified by the Appraisal Committee in accordance with the criteria described in Annex VI – Assessment criteria.

8.2.2.3. A substantiated merit assessment will be provided for each analyzed proposal, to justify their score.

8.2.2.3.1. The Appraisal Committee may have recourse to the opinions of ad hoc reviewers on which to base its decisions.

8.2.2.4. When the committee completes its analysis of each proposal, it will recommend approval or non-approval (rejection) on the merits.

8.2.2.4.1. For every proposal whose approval is recommended, the committee will suggest the amount to be funded under this Call.

8.2.2.5. The committee's final decision will be recorded on the appraisal scorecard containing the list of all proposals and their final score, alongside other information and recommendations deemed pertinent.

8.2.2.5.1. The appraisal scorecard will be signed by the members of the committee.

8.2.2.6. While the Appraisal Committee is analyzing the proposals, the Call Manager and technical-scientific staff will follow the activities and may recommended any adjustments or corrections deemed necessary to assure that the opinions and assessments comply with the provisions of this Call.

## 8.3. Stage III – Preliminary decision

8.3.1. The Department of Institutional and International Cooperation and Innovation (DCOI) will issue its decision based on the technical opinions produced by the technical-scientific staff and the documents comprised in the appraisal process.

8.3.1.1. The Amazon+10 Initiative Steering Committee will be responsible for issuing the decision regarding the funding to be contributed by the FAPs.

8.3.1.2. The decision will refer to the proposals approved and rejected on the merits, as well as those disqualified without merit analysis.

8.3.1.4. The proposals to be contracted for considering the budgetary limit specified in this Call will be highlighted in the list of approved proposals, and the respective funding sources will be named.

8.3.2. The list of approved proposals and respective funding sources considering the budgetary limit specified in the Call will be published on the websites of CNPq (<u>www.cnpq.br</u>), CONFAP (<u>www.confap.org.br</u>), and the Amazon+10 Initiative (<u>www.amazoniamaisdez.org.br</u>), and in the Federal Gazette (*Diário Oficial da União*) as per the TIMETABLE.

8.3.3. All proponents will be able to access the technical opinions on their proposals and the justification for the preliminary decision. The names of the reviewers and other staff involved in the analysis of proposals will not be disclosed.

### 8.4. Appealing the preliminary decision

8.4.1. The preliminary decision may be appealed by completing the online form provided for this purpose on the Carlos Chagas Integrated Platform (<u>http://carloschagas.cnpq.br</u>) within ten calendar days from the date of publication of the decision in the Federal Gazette (*Diário Oficial da União*) and on the websites of CNPq and CONFAP.

8.4.2. Appeals must be addressed to the entity that issued the decision. If this entity declines the appeal request, it will forward the appeal request to the Permanent Appeal Committee (COPAR).

## 8.5. Stage IV – Final decision

8.5.1. The list of approved proposals will be subject to final approval by the FAPs and partners involved in the proposals, in compliance with their internal procedures for funding and approving scientific projects.

8.5.2. The final decision will be validated by the Amazon+10 Initiative Steering Committee.

8.5.3. CNPq, CONFAP and the FAPs involved will announce the results by contacting the researchers directly and by posting them to their respective internet portals.

8.5.4. CNPq's Executive Board will issue a final decision based on the technical opinion produced by the responsible technical-scientific staff, the documents comprised in the appraisal process, and COPAR's decision on the appeal.

8.5.5. The final results will be posted to the websites of CNPq, CONFAP and the FAPs, and published in the Federal Gazette (*Diário Oficial da União*) in accordance with the TIMETABLE.

## 9. Implementation and execution of approved proposals

9.1. CNPq will support the approved proposals by awarding Individual Grants in the name of the proponents, who must sign the GRANT AGREEMENT.

9.2. Approved proposals with funding from CNPq must comply with the implementation rules described in Annex VII – Implementation and execution of approved proposals – CNPq.

9.3. Approved proposals with funding from the FAPs must comply with the implementation rules described in Annex II for each FAP, meeting all requirements in terms of documentation and qualification for the support requested, including signature of the GRANT AGREEMENT for the respective FAP.

9.3.1. The Principal Investigators for the approved proposals must demonstrate compliance with all eligibility conditions for each FAP described in Annex II in this stage as a necessary condition for signature of the GRANT AGREEMENT by the FAP. This will entail filing all the requisite documents to prove eligibility with the FAP's system, as per the instructions in Annex II.

## **10. Monitoring and evaluation**

10.1. The Amazon+10 Initiative will put in place monitoring and evaluation tools for the supported projects. It will also encourage teams to form research networks and take part in periodic meetings where they can present the results to different audiences. This level of coordination will ensure that the results of the supported projects and activities will be innovative not only individually but also collectively.

10.2. Monitoring and evaluation will be conducted both for prevention and remediation, with the aim of assuring adequate and regular project management.

10.3. Projects will be monitored and evaluated in all phases of their execution, considering the provisions of the GRANT AGREEMENT.

10.4. CNPq and the FAPs reserve the right to monitor projects and work plans, evaluate their execution, and verify in situ the use of funding while the process is active.

10.5. At any time while projects are being executed, CNPq and the FAPs may make technical visits or request additional information for the purposes of monitoring and evaluation.

10.6. The Principal Investigator must inform CNPq of any changes in project execution and, if necessary, seek CNPq's prior consent by submitting a justified request, in accordance with the provisions of Decree 9283/2018 and RN 006/2019.

10.7. For monitoring and evaluation purposes, the Principal Investigator must submit to the FAP to which the project is linked an annual report on execution of the project or work plan, considering the start date for the CNPq process, via the electronic platform, as stipulated in the GRANT AGREEMENT.

10.7.1. CNPq and the FAPs will monitor the partial results of the research projects and evaluate the progress made in terms of the timetable, goals and indicators established in the approved project or work plan, among other criteria.

10.8 - If they find that a project is not being executed as promised, the FAP and CNPq will require the necessary measures to assure compliance. If these are not implemented, it will cancel the grant, without prejudice to other measures applicable to the case.

## 11. Accountability and final assessment

11.1. The Principal Investigator must submit a report on the execution of the project and comply with other accountability requirements.

11.2. The Principal Investigator for a project funded by CNPq must comply with the accountability rules in Annex VIII – Accountability and final assessment – CNPq.

11.3. The Principal Investigator for a project funded by one or more FAPs must <u>also</u> comply with the accountability rules set out by each FAP, as per Annex II.

11.3.1. Principal Investigators responsible for approved proposals must follow the accountability rules for each FAP in Annex II.

## 12. Challenging the Call

12.1. Any citizen who fails to challenge the Call by the deadline stipulated in the TIMETABLE will lose the right to do so.

12.1.1. Proponents who do not challenge the Call in time will be bound by all its terms and conditions, losing the right to contest its provisions.

12.2. Challenges must be emailed to the Presidency of CNPq at presidencia@cnpq.br, in accordance with the procedures described in Law 9784/1999.

12.2.1. No challenge to the Call can suspend or interrupt the flow of events in the TIMETABLE.

## **13.** Publications

13.1. Scientific publications and all other forms of diffusion or promotion of events or research projects supported under this Call must mention the support given by CNPq and the FAPs that contributed funding.

13.1.1. In scientific publications, reference must be made to CNPq solely as "*Conselho Nacional de Desenvolvimento Científico e Tecnológico – CNPq*" or "National Council for Scientific and Technological Development (CNPq)".

13.1.2. In scientific publications by other funding agencies, citation must be made as required by the respective FAPs and agencies.

13.2. All publicity referring to projects funded under this Call must comply strictly with the applicable rules and regulations.

13.3. Scientific articles resulting from projects supported under this Call should preferably be published in open-access journals and deposited in open-access online repositories, along with the respective scientific data and supplementary material.

13.4. If the results of the project or the report prove to have commercial value or the potential to lead to the development of a product or method involving the establishment of intellectual property rights, then any exchange of information and reservation of rights will comply in each case with the applicable legislation: the Industrial Property Law (Law 9279/1996), the ST&I Legal Framework (EC 85/2015, Law 10973/2004, Law 13243/2016, Decree 9283/2018) and CNPq's Normative Resolution RN 502/2021.

13.5. For each approved proposal, an undertaking will be signed by and between the institutions to which the researchers are affiliated in the states involved, specifying how IP rights, confidentiality and publications will be jointly managed, in accordance with the IP policies of each

of the funding agencies and host institutions for the project concerned. Presentation of the undertaking is not mandatory for signature of the contract, but the FAPs involved may request it at any time.

## **14. Additional information**

14.1. Additional information can be obtained from the following addresses:

- General questions about the Call and the Amazon+10 Initiative: chamadaamazonia10@gmail.com.

- Specific questions about each FAP's procedures – see contact details in Annex II.

- Specific questions about the procedures for submitting proposals to the Carlos Chagas Integrated Platform: atendimento@cnpq.br.

## **15. General provisions**

15.1. This Call is governed by Constitutional Amendment 85, dated February 26, 2015, and the public law precepts in the ST&I Legal Framework and Law 10973, dated December 2, 2004, Law 13243, dated January 11, 2016, Decree 9283, dated February 7, 2018, and in particular by CBPq's internal norms.

15.2. This Call may be wholly or partially revoked or annulled by a suitably justified decision of CNPq's Executive Board, without entailing any right to compensation or complaint of any kind.

15.3. CNPq's Executive Board reserves the right to resolve any cases or situations not covered by this Call.

15.3.1. The Amazon+10 Initiative Steering Committee will be consulted on decisions to resolve any cases or situations not covered by this Call.

Brasília, November 16, 2023

### ANNEX I – FUNDING

1. Approved proposals will be funded in the aggregate amount of BRL 59,200,000.00 (fifty-nine million and two hundred thousand reais), of which BRL 30,000,000.00 (thirty million reais) will come from CNPq's budget, as shown in Annex I, plus contributions by each of the State Research Funding Agencies (FAPs), as shown in Annex II, plus  $\notin$  30,000.00 (thirty Thousand euros), £ 5,000,000.00 (five million British pounds), and CHF 1,000,000.00 (one million Swiss francs), coming from international agencies as described in Annex IX. Funding will be disbursed in accordance with budgetary and financial availability and as established in the call.

1.1. Other funding deriving from future partnerships may supplement the support provided for approved proposals under this Call and/or to contract for new projects among those approved on their merits.

1.2. When disbursement lasts for longer than a financial year, the amount transferred each year will depend on the budgetary and financial capacity of CNPq and the other partners concerned.

2. Should additional funds for this Call become available at any time, CNPq and one or more partners may supplement the projects contracted for and/or contract for new projects among those approved on their merits, if they decide it is appropriate and opportune to do so.

2.1. In the event of such a decision, projects to be supplemented or contracted for by CNPq and one or more partners will exceptionally be selected in accordance with a duly justified list of priorities drawn up by CNPq's Executive Board (DEX), or by the partner(s), also with due justification and approved by DEX, taking into consideration regional questions and the public interest.

# ANNEX II – GUIDELINES FOR PROPONENTS VIA FAPs

Researchers are advised to read and carefully follow the rules on eligibility and submission for each FAP set out below. Failure to comply with these rules will make the proposal concerned ineligible even to embark on the analysis stage.

FAPAC	Fundação de Amparo à Pesquisa do Acre
Eligibility criteria	Executing institution:
	Eligible institutions are higher education or research institutions,
	public or private, headquartered in the state of Acre.
	- The executing institution for the project must be the institution with
	which the proponent is affiliated.
	The proponent must:
	<ul><li>Be the Principal Investigator for the proposal submitted to FAPAC;</li><li>Have a PhD;</li></ul>
	- Be an employee of the executing institution for the project;
	- Not coordinate more than 1 (one) proposal submitted under this
	Call;
	- Be registered with SIGFAPAC (sig.fapac.ac.gov.br) and Lattes
	(www.lattes.cnpq.br/), with all details available and up to date;
	- Not be in default to FAPAC when the proposal is submitted or
	during the qualification and assessment process;
	- Have the consent of the senior executive of the institution where the
	project will be conducted.
	<i>Important</i> : approved proposals must be institutionalized in the sector
	responsible for research supervision in the executing institution.
Types of support	Grants to researchers
	Research projects in the priority areas for this Call will each receive
	a grant of up to BRL 25,000.00 (twenty five thousand reais) and
	lasting up to 36 (thirty-six) months after signature of the Grant
	Agreement.
Funding from FAPAC	BRL 50,000.00 (fifty thousand reais)
Supplementary funding	BRL 190,000.00 - for information on items fundable by CNPq, see
from CNPq	Annex III
Submission of	Carlos Chagas Integrated Platform: <u>https://carloschagas.cnpq.br/</u>
proposals	
Documents required	Copies of Brazilian National Registration (RG), CPF number, PhD
	certificate, proof of residence, Proof of affiliation with the ICT
	(Institution of Science and Technology), Letter of Consent from the
	affiliated institution, Research Project.
Fundable and Non-	The items eligible for financing are as follows:
Fundable Items	

a) Consumable materials;

b) Travel expenses, including transportation, when destined for project execution;

c) Other third-party services – legal entities;

d) Daily allowances;

e) Purchase of equipment, permanent materials, and bibliographical resources necessary for research development;

f) Expenses related to the translation and publication of articles.

All acquired goods and contracted services must be duly justified in the project. All equipment and permanent materials purchased will be part of the assets of Higher Education or Research Institutions, under the responsibility of the Researcher in charge of the project. Freight expenses should be budgeted within the Operating Expenses category (Other Third-Party Services – Legal Entity).

Non-financed items include:

a) Hiring or supplementary salary for administrative and technical personnel, and routine expenses such as electricity, water, telephone, internet, and civil works, considered as mandatory counterpart expenses for the project-executing institution and collaborators;

b) Other third-party services – individuals;

c) Construction and renovation of buildings;

d) Acquisition of vehicles;

e) Granting any type of scholarship;

f) Expenses related to advertising and/or gifts, cocktails, dinners, shows, artistic events of any kind, decoration, certificates, badges, folders, and similar items;

g) Expenses related to bank fees, fines, interest, or monetary correction;

h) Insurance and warranty for equipment and other capital assets.

i) Imported equipment/products;

j) Scholarships in any form.

Contact details:

documentos.fapac@gmail.com

FAPEAL	Fundação de Amparo à Pesquisa do Estado de Alagoas
Eligibility criteria	The proponent must:
Englointy enteria	a) Have a PhD and be an employee of a science and technology institution
	or higher education institution in Alagoas;
	b) Have produced academic or scientific research and/or led a
	professional career with proven competency in the area of the proposal;
	c) Not be in default to FAPEAL (technical and financial accountability
	for other projects).
Types of support	Grants to Research Projects (APQ)
Funding available	BRL 150,000.00 (one hundred and fifty thousand reais), capped at BRL
Funding available	50,000.00 (fifty thousand reais) per proposal
Submission of	Carlos Chagas Integrated Platform: <u>https://carloschagas.cnpq.br/</u>
proposals	Carlos Chagas Integrated Flatform. <u>https://carloschagas.cnpq.bl/</u>
Documents required	Letter of consent from the host institution and final expedition project
Link to specific	
instructions	
Contact details:	pesquisafapeal@gmail.com
FAPEAP	Fundação de Amparo à Pesquisa do Estado do Amapá
Eligibility criteria	The proponent must:
<b>C I</b>	a) Be the Principal Investigator for the project;
	b) Have a PhD and proven experience in the areas prioritized by the Call;
	c) Have an effective and active affiliation with a science, technology and
	innovation institution (ICTI) in the state of Amapá;
	d) Reside in the state of Amapá;
	e) Have a Lattes CV with CNPq (lattes.cnpq.br) and keep it up to date;
	f) Not be in default of any kind with FAPEAP or other entities of the
	direct or indirect public administration of the state of Amapá;
	g) Comply with any other criteria that may be required in supplementary
	calls.
Types of support	Grants to Research Projects (APQ)
Funding available	BRL 300,000.00 (three hundred thousand reais)
from FAPEAP	
Supplementary	BRL 1,140,000.00 - for information on items fundable by CNPq, see
funding from CNPq	Annex III
Submission of	Carlos Chagas Integrated Platform: https://carloschagas.cnpq.br/
proposals	
Documents required	Copy of ID (RG) and taxpayer cards (CPF); letter of consent from host
	institution.
Link to specific	fapeap@fapeap.ap.gov.br
instructions	
Contact detailer	fanaan@fanaan an goy hr

Contact details: fapeap@fapeap.ap.gov.br

FAPEAM	Fundação de Amparo à Pesquisa do Estado do Amazonas
	The additional criteria for the applicant are as follows:
	For the proponent:
	a) Be a Brazilian citizen; if foreign, possess a permanent visa;
	b) Reside in the state of Amazonas;
Eligibility criteria	c) Have a formal affiliation with a research and/or higher education institution, research center, public or private nonprofit organization, with headquarters or a permanent unit in the state of Amazonas, for a period longer than the project's duration;
	d) Obtain the consent of the highest authority of the proponent's affiliated institution or their legal representative at FAPEAM (with an appointment act), demonstrating an affiliation for a period longer than the project's duration at the institution where it will be executed.
	For the institution:
	a) Be located in the state of Amazonas and fall into one of the following profiles:
	a.1) Research and/or higher education institution, public or private, nonprofit;
	a.2) Institution or center for scientific, technological, and/or innovation research, public or private, nonprofit.
	Additional criteria will be required at the time of proposal submission to FAPEAM. For more information, please refer to the Specific Guidelines of FAPEAM for this Call.
Types of support	Research grant in the amount of up to R\$ 500,000.00 (five hundred thousand Brazilian Reais) for use in the categories of OPERATING EXPENSES and CAPITAL EXPENSES.
	The eligible and non-eligible items can be consulted in the Specific Guidelines of this FAPEAM Call and in the Manual for Accountability.
Funding available from FAPEAM	BRL 2,000,000.00
Supplementary funding from CNPq	BRL 5,700,000.00 - for information about items fundable by CNPq, see <b>Annex V.</b>
Submission of proposals	Carlos Chagas Integrated Platform: <u>https://carloschagas.cnpq.br/</u> After the Preliminary Results of the Call, FAPEAM will request that researchers approved in the CNPq selection process submit a version of their proposals through SIGFAPEAM for final analysis.
Documents required	Additional documents will be requested at the time of proposal

	<ul> <li>submission in SIGFAPEAM, following the Preliminary Results of the Call.</li> <li>For more information, please refer to the Specific Guidelines of FAPEAM for this Call.</li> </ul>
Link to specific instructions	The Specific Guidelines of FAPEAM are available on the official website of the Foundation ( <u>https://www.fapeam.am.gov.br</u> ), under the "Current Calls" section.
Contact details	deap@fapeam.am.gov.br

FAPDF	Fundação de Apoio à Pesquisa do Distrito Federal
Eligibility criteria	<ul> <li>The proponent must:</li> <li>a) Have Brazilian nationality or a permanent foreign resident's ID;</li> <li>b) Have at least a PhD and proven experience in the priorities areas for the Call;</li> <li>c) Be the Principal Investigator for the proposal;</li> <li>d) Reside in the Federal District;</li> <li>e) Be an employee of a public or private science, technology and innovation institution (ICTI) with headquarters and taxpayer registration (CNPJ) in the Federal District;</li> <li>g) Have registered an up-to-date résumé with CNPq's Lattes platform not more than three months before submitting the proposal;</li> <li>g) Have no pendencies of any kind with FAPDF or any other direct</li> </ul>
Types of support	or indirect public administration by in the Federal District. Grants for Research Projects (APQ)
Funding available	BRL 500,000.00 (five hundred thousand reais) Capped at BRL 250,000.00 per proposal
Submission of proposals	Carlos Chagas Integrated Platform: <u>https://carloschagas.cnpq.br/</u>
Documents required	The requested documents are as follows:
	<ul> <li>a) Identification document or National Foreigner Registration (RNE), permanent, within its validity;</li> <li>b) Proof of residence (accepted documents: electricity bill, water bill, gas bill, telephone bill, condominium fee invoice, complete rental contract in the name of the Proponent/Coordinator, issued in the last three months, handwritten and signed declaration, dated as per Article 1, Sole Paragraph, of Law 4,225 of October 24, 2008, in the Federal District or in the cities comprising the RIDE (Integrated Development Region of the Federal District and Surroundings) (according to the model available on the FAPDF website);</li> <li>c) Proof of employment relationship with the Executing Institution, issued in the last three months; (Only the following documents will be considered proof of affiliation: employment record, paycheck, and a declaration issued by the competent authority of the Executing Institution;)</li> <li>d) Updated Lattes Curriculum in the last three months;</li> <li>e) Negative Debt Certificate from the Secretariat of Finance of the Federal District or Positive Certificate with a negative effect, within the validity period, (https://ww1.receita.fazenda.df.gov.br/cidadao/certidoes/Certidao);</li> <li>f) Joint Negative Certificate of Debts Relating to Federal Taxes or Positive Certificate with a negative effect, within the validity period,</li> </ul>

	(https://solucoes.receita.fazenda.gov.br/Servicos/certidaointernet/PF/ Emitir);
	g) Letter of agreement from the other team member (coordinator) from the other State.
Link to specific instructions	
Contact details:	cootec@fap.df.gov.br
FAPEG	Fundação de Amparo à Pesquisa do Estado de Goiás
Eligibility criteria	<ul> <li>The proponent must:</li> <li>a) Have a phD and be an employee of a public or private science and technology institution (ICT) or higher education institution (IES) in Goiás;</li> <li>b) Produce academic or scientific research and/or work professionally with proven competence in the area of the proposal;</li> <li>c) Not be in default to FAPEG (technical and financial accountability for other projects).</li> </ul>
Types of support	Grants for Research Projects (APQ)
Funding available	BRL 600,000.00 (six hundred thousand reais)
Submission of proposals	Carlos Chagas Integrated Platform: https://carloschagas.cnpq.br/
Documents required	ID card (RG), taxpayer card (CPF), letter of consent from affiliated institution, research project.
Link to specific instructions	http://www.fapeg.go.gov.br/categoria/editais/
Contact details:	atendimento.fapeg@goias.gov.br

FAPEMA	Fundação de Amparo à Pesquisa e ao Desenvolvimento Científico e Tecnológico do Maranhão
Eligibility criteria	The proponent must: a) Have at least the degree stipulated by the Call; b) Be an employee of a public or private science and technology institution (ICT) or higher education institution (IES) in Maranhão; c) Have registered an up-to-date résumé with FAPEMA's Patronage platform (https://patronage.fapema.br/) and CNPq's Lattes platform (www.lattes.cnpq.br/);
	d) Not be in default with FAPEMA at the time the proposal is

	submitted or during the assessment process (analysis of the application and of the project's merits and relevance). FAPEMA's technical staff will verify the documents via the Patronage platform.
Types of support	Grants for Research Projects (APP)
Funding available from FAPEMA	BRL 400,000.00 (four hundred thousand reais)
Supplementary funding	BRL 1,90,000.00 – for information on items fundable by CNPq,
from CNPq	see Annex III
Submission of proposals	Carlos Chagas Integrated Platform: <u>https://carloschagas.cnpq.br/</u>
Documents required	ID (RG) and taxpayer cards (CPF); proof of degrees; proof of employment; letter of consent from affiliated institution; research proposals compliant with provisions of this Call.
Link to specific instructions	https://fapema.br/
Contact details:	cie@fapema.br

FAPEMAT	Fundação de Amparo à Ciência e Tecnologia do Estado de Mato Grosso			
Eligibility criteria – <b>Executing</b> institution	Public or private science and technology institution (ICT) in Mato Grosso.			
Eligibility criteria –	The eligibility criteria for applicants are as follows:			
Proponent	a) Hold a doctoral degree;			
	b) Have an employment relationship (statutory or CLT) with the Institution of Science and Technology – ICT, public or private nonprofit, headquartered in Mato Grosso (executing institution);			
	c) Have an updated curriculum on the Lattes platform and on SIGFAPEMAT;			
	d) Be responsible for the financial and technical management of the research;			
	e) If a retired researcher, must prove ongoing academic- scientific activity and provide a declaration from the executing institution agreeing to the project execution.			
	f) Researchers with one (or more) ongoing projects (research, popularization, or innovation) or with technical and/or financial account reports under review with this foundation cannot submit proposals to this call.			
	g) Researchers in arrears with FAPEMAT cannot submi			

	proposals.		
	h) Each researcher may submit only one proposal as the proponent in this call.		
Types of support	Research Project		
Funding available from FAPEMAT	BRL 2,000,000.00 (two million reais)		
Ceiling per proposal	BRL 300,000.00 (three hundred thousand reais)		
Supplementary funding from CNPq Types of support	BRL 5,700,000.00 - for information on items fundable by CNPq, see Annex III Financial support is provided in the forms of operating		
	expenses, capital expenses, and scholarships.		
	Eligible items:		
	a) Permanent materials (the permanent material costs should represent at least 30% of the amount requested from FAPEMAT).		
	b) Consumable materials.		
	c) Daily allowances, as per FAPEMAT rates:		
	Within the state: R\$ 302.50 (three hundred and two Brazilian Reais);		
	Outside the state: R\$ 581.00 (five hundred and eighty-one Brazilian Reais).		
	d) Airplane tickets.		
	e) Services provided by individuals (third-party services – physical person).		
	f) Services provided by legal entities (third-party services – legal person).		
	g) Expenses for the submission or publication of scientific articles are accepted only in journals with open access (OA), and for the dissemination of the research results funded by this call.		
	h) Each project must allocate at least 5% of the requested amount from FAPEMAT for project promotion activities within Mato Grosso's society. Promotion should preferably occur through digital means. Project team resources requested to cover participation in scientific conferences (registration, transportation, and daily allowances) will not be counted for project promotion within Mato Grosso's society.		
	Items not eligible for funding:		

### Items not eligible for funding:

k) Civil works (construction or renovation).

	l) Salary payments or salary supplements for technical and administrative staff or any other benefits for personnel in public institutions (federal, state, and municipal); routine expenses such as electricity, water, telephone, postage, photocopying, and similar expenses, considered as mandatory counterpart expenses of the project-executing institution.
	m) Payments, under any title, to public administration employees or employees of public companies or mixed- economy companies for consultancy or technical assistance services, as stipulated by the Union Budget Guidelines and Federal Decree No. 5,151 of 04/22/2004.
	n) Fees for administration or management, under any title, according to Normative Instruction 01/2015 of 02/23/2015.
	o) Payments for consulting and professional fees.
	p) Outsourcing basic research activities, such as experimental design, data analysis, text review and translation, report preparation, or payment of team members for such activities.
	q) Layout, editing, or publication of books.
	r) Expenses for the submission or publication of scientific articles in journals that are not open access (OA).
Submission of proposals	Carlos Chagas Integrated Platform: https://carloschagas.cnpq.br/
Documents required with	a) Online proposal form (SIGFAPEMAT platform)
proposal submission	b) Principal Investigator must attach ID card (RG), taxpayer
proposal such sister	card (CPF) and recent proof of address;
	c) Letter of consent from executing institution.
Link to specific instructions	www.fapemat.mt.gov.br
Contact details:	cpesquisa@fapemat.mt.gov.br

FAPESQ	Fundação de Amparo à Ciência e Tecnologia do Estado da	
	Paraíba	
Eligibility criteria	The proponent must:	
	<ul> <li>a) Have a PhD and be an employee of a public science and technology institution (ICT) or higher education institution (IES) in Paraíba;</li> <li>b) Produce academic or scientific research and/or work professionally with proven competence in the area of the proposal;</li> </ul>	

	c) Not be in default to FAPESQ (technical and financial			
	accountability for other projects).			
Types of support	Grants for Research Projects (APQ)			
Funding available	Support for two projects up to the amount of BRL 100,000.00			L 100,000.00
	(one hundred thousand reais), totaling BRL 200,000.00 (two			),000.00 (two
	hundred thousand reais).			
Submission of proposals	Carlos	Chagas	Integrated	Platform:
	https://carlos	schagas.cnpq.br/		
Documents required	As specified in the Call document.			
Link to specific instructions	www.fapesq.rpp.br			
Contact details:	email: programas-projetos@fapesq.rpp.br			

FACEPE	Fundação de Amparo à Ciência e Tecnologia do Estado de Pernambuco
Eligibility criteria	<ul> <li>The proponent must:</li> <li>a) Have a PhD and be an employee of a public or private scientific and technological institution (ICT) or higher education institution (IES) in Pernambuco;</li> <li>b) Produce academic or scientific research and/or work professionally with proven competence in the area of the proposal;</li> <li>c) Not be in default to FACEPE (technical and financial accountability for other projects.</li> </ul>
Types of support	Grants for Research Projects (APQ)
Funding available	BRL 150,000.00 (one hundred fifty thousand reais)
Submission of proposals	Carlos Chagas Integrated Platform: https://carloschagas.cnpq.br/
Documents required	Copy of ID (RG) and taxpayer cards (CPF); letter of consent from affiliated institution.
Link to specific instructions	
Contact details:	inovacao@facepe.br

FAPERJ	Fundação de Amparo à Pesquisa do Estado d	lo Rio de Janeiro	
Eligibility criteria	a) Researchers employed by science and technology institutions		
	(ICT) in the state of Rio de Janeiro are eligit	ble as proponents;	
	b) Proposals must be sent to FAPERJ by the Pri	ncipal Investigator	
	for the project, with the consent of the PI's ins	titution and of the	
	associated	researchers;	
	c) Each PI may submit only one proposal and	may not belong to	
	the teams of any othe	r proposals;	
	d) The researchers associated with each propos	al may not belong	

	to the teams of any other proposals under this Call;
	e) All researchers in the team must have a PhD or the equivalent,
	have produced first-class scientific or technological work,
	especially in the last five years, and be actively and productively
	involved in research and/or activities relevant to the proposal;
	f) The PI and all researchers in the team must have up-to-date
	Lattes CVs, where only the five years prior to the application will
	be considered for the purposes of analyzing the proposal;
	g) Neither the PI nor any other researchers associated with the
	proposal must be in default with or have pendencies with FAPERJ
	(late delivery of scientific or financial reports.
Types of support	Grants for Research Projects (APQ1)
Funding available	BRL 1,000,000.00 (one million reais)
Submission of proposals	Carlos Chagas Integrated Platform: <u>https://carloschagas.cnpq.br/</u>
Documents required	A. Complete project in PDF format (use template for main project
	provided in Call document);
	B. Summarized CV Lattes/CNPq for proponent and other team
	members, containing only prior experience, publications and other
	production in last five years (to date of publication of Call);
	C. Work plan, including execution timetable in calendar months
	and all scheduled activities in short, medium and long term;
	D. Document detailing funding awarded by agencies in Brazil and
	abroad, including universities, foundations and private or public
	companies (specify project title, grant number, funding agency and
	grant term in each case);
	E. Brief account of other academic work deemed relevant and
	projects completed by proponent or in progress;
	F. Letter of consent from institutions to which all team members
	are affiliated, signed by top executive and attesting to eligibility of
	proponent and team;
	G. Letters of consent from all team members;
	H. Document evidencing approval of Ethics Committee if project
	involves experiments with animals or people. Handwritten
T 1 1	statement if no animals or humans involved.
Link to specific	https://www.faperj.br/
instructions	
Contact details:	amazonia10@faperj.br

FAPERGS	Fundação de Amparo à Pesquisa do Estado do Rio Grande do Sul
Eligibility criteria	The proponent must have a PhD, reside in the state of Rio Grande do Sul, have an effective affiliation with a public or private science and technology institution (ICT), and be in good

	standing wi	ith FAPERGS.		
Types of support	Research grants including running costs and capital.			
Funding available	Up to three proposals for a total of BRL 600,000.00 (six hundred thousand reais), or up to BRL 200,000.00 (two hundred			
	thousand re	eais) per proposal	•	
Submission of proposals	Carlos	Chagas	Integrated	Platform:
	https://carlo	oschagas.cnpq.br/	-	
Documents required	Copy of ID (RG) and taxpayer cards (CPF); PhD degree; proof		degree; proof	
	of ICT affil	iation.		
Link to specific instructions	https://fapergs.rs.gov.br/abertos			
Contact details:	dec@faper	<u>gs.rs.gov.br</u>		

FAPERO	Fundação Rondônia de Amparo ao Desenvolvimento das A		
	Científicas e Tecnológicas e à Pesquisa do Estado de Rondônia		
Eligibility criteria	The proponent must:		
	a) Have a PhD and be an employee of a public or private science		
	and technology institution (ICT) or higher education institution		
	(IES) in Rondônia;		
	b) Produce academic or scientific research and/or work		
	professionally with proven competence in the area of the proposal;		
	c) Not be in default to FAPERO (technical and financial		
	accountability for other projects.		
Types of support	Grants for Research Projects (APQ)		
Funding available from	R\$ 400,000.00 (fur hundred thousand reais)		
FAPERO			
upplementary funding from	BRL 1,520,000.00 - for information about items fundable by		
NPq	CNPq, see Annex III		
Submission of proposals	Carlos Chagas Integrated Platform: https://carloschagas.cnpq.br/		
Documents required	Copy of ID (RG) and taxpayer (CPF) cards; letter of consent from		
	affiliated institution.		
Link to specific instructions			
Contact details	faperopesquisador@gmail.com		
FAPESP	Fundação de Amparo à Pesquisa do Estado de São Paulo		
Eligibility criteria	As per rules at: https://fapesp.br/apr		
Types of support	Research Grants – Regular (APR)		
Funding available	BRL 12,700,000.00 (twelve million seven hundred thousand reais)		
Submission of proposals	Carlos Chagas Integrated Platform: <u>https://carloschagas.cnpq.br/</u>		
Documents required	As per SAGe		
Link to specific instructions	https://fapesp.br/16360		
Contact details	iniciativa-amazonia@fapesp.br		
Contact uctails			

ARAUCÁRIA	Fundação Araucária de Apoio ao Desenvolvimento Científico
	e Tecnológico do Paraná (FA)
Eligibility criteria	Science and technology institutions (ICT) must:
	a) Be a public or private ICT in accordance with State Law
	20541/2021, article 2 (VI), with headquarters and taxpayer
	number (CNPJ) in the state of Paraná;
	b) Undertake to help provide adequate conditions of space,
	infrastructure, technical and administrative support staff and time
	for the team to devote to the proposed project;
	c) Submit (when so requested and if the proposal is approved) the
	clearances mentioned in article 3 of Normative Instruction RN
	61/2011 of the Paraná State Audit Court (TCE-PR) and
	documents required by Fundação Araucária's Normative Act
	01/2012, in order to formalize the legal instrument.
	Principal Investigators for proposals must:
	a) Be formally affiliated with the institution co-signing the
	proposal;
	b) Be Brazilian or have a permanent visa to reside in Brazil;
	c) Be the researcher responsible for writing the proposal, filing the
	documentation, executing the project, selecting and overseeing
	the fundable items, and filing reports and accounts;
	d) Obtain approval of the proposal from the affiliated institution;
	e) Submit only one proposal under this Call.
Types of support	Grants for Research Projects (APQ)
Funding available	BRL 4,000,000.00 (four million reais)
Submission of proposals	Carlos Chagas Integrated Platform: <u>https://carloschagas.cnpq.br/</u>
Documents required	a) Registration of PI and host institution;
	b) Online proposal form (Sparkx);
	c) Research project template (CONFAP);
	d) Description of proposal and signed undertaking;
	e) Signed letter of consent from ICTPR;
	f) Signed declaration from private ICTPR.
Link to specific instructions	
Contact details:	projetos@fundacaoaraucaria.org.br

FAPERR	Fundação de Amparo à Pesquisa do Estado de Roraima
Eligibility criteria	The proponent must have a PhD, reside in the state of Roraima, have an effective affiliation with a higher
	education institution (IES) or science, technology and

	innovation institution (ICTI) in Roraima, be in good standing with FAPERR, and demonstrate experience in the thematic area of the proposal.
Types of support	Grant (AF)
Funding available from FAPERR	BRL 200,000.00 (two hundred thousand reais)
Supplementary funding from CNPq	BRL 760,000.00 - for information on items fundable by CNPq, see Annex III
Submission of proposals	CarlosChagasIntegratedPlatform:https://carloschagas.cnpq.br/
Documents required	Copies of ID (RG) and taxpayer (CPF) cards; letter of consent from affiliated institution.
Link to specific instructions	www.faperr.rr.gov.br/programas-abertos
Contact details:	dt@faperr.rr.gov.br

Fundação de Amparo à Pesquisa do Tocantins
The proponent must:
a) Have a PhD, and be an employee of a public or private
science and technology and institution (ICT) in Tocantins;
b) Produce academic or scientific research and/or work
professionally with proven competence in the area of the proposal;
c) Not be in default to FAPT (technical and financial
accountability for other projects;
d) Not have two active projects with funding from FAPT.
Each researcher will receive support as Principal Investigator
for at most two active research projects with funding from
FAPT;
e) File the completed attachments.
Grants for Research Projects (APQ)
DDI 450,000,00 (four bundred or d fifty thousand reads)
BRL 450,000.00 (four hundred and fifty thousand reais)
BRL 450,000.00 (four hundred and fifty thousand reals) BRL 1,710,000.00 - for information on items fundable by
BRL 1,710,000.00 - for information on items fundable by
BRL 1,710,000.00 - for information on items fundable by CNPq, see Annex III
BRL 1,710,000.00 - for information on items fundable by CNPq, see Annex IIICarlosChagasIntegratedPlatform:
BRL 1,710,000.00 - for information on items fundable by CNPq, see Annex IIICarlosChagasIntegratedPlatform: https://carloschagas.cnpq.br/
BRL 1,710,000.00 - for information on items fundable by CNPq, see Annex IIICarlosChagasIntegratedPlatform: https://carloschagas.cnpq.br/Copies of ID (RG) and taxpayer (CPF) cards; copy of PhD;

Contact details

fapt@tecnologia.to.gov.br

FAPESPA	Fundação Amazônia de Amparo a Estudos e Pesquisas
Eligibility criteria	The proponent/Principal Investigator in the state of Pará
	must:
	a) Be Brazilian or a foreigner regularly resident in Brazil;
	b) Be the PI or responsible researcher in Pará;
	c) Have a PhD;
	d) Be an employee of a public or private science and technology
	institution (ICT) or higher education institution (IES) in Pará
	and remain so throughout the period of project execution;
	e) Have registered a résumé with CNPq's Lattes platform;
	f) Produce academic or scientific research and/or work
	professionally with proven competence in the area of the
	proposal;
	g) Be in good standing with FAPESPA (technical and financial
	accountability for other projects) and with the state's tax
	authorities on filing the proposal and signing the grant
	agreement;
	h) Not be a civil servant or service provider employed by
	FAPESPA or a direct or collateral relative of any civil servant
	or service provider employed by FAPESPA by blood or
	marriage to the third degree of consanguinity;
	i) Be responsible for all measures involving special ethical and
	legal authorizations required to execute the project, and for
	safekeeping of such documents (Ethics Committee in the case
	of experiments involving humans; EIA/RIMA in the
	environmental area; CTNBio for genomics; FUNAI for
	Indigenous and other territories, as appropriate to the contents
	of the proposal);
	j) Not be Principal Investigator or responsible researcher for
	more than one proposal under this Call.
	The executing institution must: a) Be a science, technology and innovation institution (ICT)
	headquartered in the state of Pará;
	b) Be registered with CNPq's Directory of Institutions.
Types of support	Grants for Research Projects (running costs and scholarships)
-)	Fundable items:
	1. Running costs
	As per accountability manual (Manual de Prestação de
	Contas)
	a) Per diems
	b) Consumables
	c) Travel
	d) Third-party services (corporate)
	e) Third-party services (individual incl. employer)
	2. Scholarships (Ordinance 141/2022)
	a) Technical support for extension in Brazil (ATP)

	b) Scientific Initiation (IC)
	c) Master's (ME)
	d) Junior Postdoc (PDJ)
	e) Development in Science, Technology and Innovation
	(DCTI)* – Levels 2, 3, 4, 5 and 6.
	*DCTI scholarships are prohibited in the situations described in
	notes 2, 3 and 4 to Ordinance 141/2022.
Funding available from	BRL 3,000,000.00 (three million reais)
FAPESPA	
Supplementary funding from	BRL 11,380,000.00 - for information on items fundable by
CNPq	CNPq, see Annex III
Submission of proposals	Carlos Chagas Integrated Platform:
	https://carloschagas.cnpq.br/
Documents required	a) Registration with FAPESPA platform (Link registration)
	b) Official ID document with photo;
	c) Taxpayer card (CPF);
	d) Proof of address issued not more than 90 days before filing
	date;
	e) Proof of affiliation with executing institution;
	f) Clearance certificates from state tax authorities ( <u>Click here to</u>
	request document);
	g) Undertaking signed by PI;
	h) Work plan for use of funding from FAPESPA;
	i) Letter of consent from affiliated institution.
Link to specific instructions	https://www.fapespa.pa.gov.br/chamadas
Contact details	Main: amazonia10fapespa@gmail.com
Contact dotails	
	Cc: dicet@fapespa.pa.gov.br

FUNDECT	Fundação de Apoio ao Desenvolvimento do Ensino, Ciência e Tecnologia de Mato Grosso do Sul
Eligibility criteria	For the Executing Institution:
	a) To be located in the state of Mato Grosso do Sul;
	b) To be a higher education institution, public or private, or a research and development institution or center, public or private;
	c) To ensure the coordinator and project team approved have permission to use laboratory facilities;
	d) To commit to providing suitable conditions of space, infrastructure, dedicated research time, and technical and administrative support for project execution and management;
	e) In the case of the coordinator's absence or impediment, it is the responsibility of the executing institution to immediately notify Fundect;
	f) To be registered in SIGFUNDECT.
	For the Proponent:
	a) To be the main coordinator of the proposal;
	b) To hold a doctoral degree;
	c) To be a Brazilian citizen by birth, naturalized, or a foreigner in regular situation in the country;
	d) To reside in the state of Mato Grosso do Sul;
	e) To have updated registration in the SIGFUNDECT system (https://sigfundect.ledes.net/);
	f) To have a curriculum vitae registered in the Lattes Platform (CNPq);
	g) To have an employment relationship (CLT or statutory) with a higher education institution (HEI) and/or research institution based in the state of Mato Grosso do Sul, which will be listed in the grant agreement as an intervening party. FUNDECT reserves the right to request, if deemed necessary, documents proving the legal activities of the institution in scientific and technological research;
	h) Not to be a substitute, visiting, or DCR (Regional Scientific Development) professor/researcher;
	i) Not to have any restrictions/pendencies with the state of Mate Grosso do Sul and the Union when formalizing the grant

	agreement;
	j) Not to have any restrictions/pendencies with Fundect at the time of submitting the proposal through Sigfundect;
	k) Not to have any restrictions with the Public Treasuries (Municipal, State, and Federal) at the time of formalizing the Grant Agreement;
	l) Each researcher can only participate as the coordinator of one proposal in this call.
Types of support	Grants for Research Projects
Funding available from FUNDECT	BRL 500,000.00
Submission of proposals	SIGFUNDECT ( <u>https://sigfundect.ledes.net/</u> )
Documents required	The following documents must be updated in the SIGFUNDECT platform:
	a) Copy of ID (RG);
	b) Copy of CPF (Individual Taxpayer Registry);
	c) Updated proof of residence (maximum of three months) in the name of the proponent or a Residence Declaration, according to the template available on the Fundect website;
	d) Copy of the Doctoral Diploma, front and back.
Link to specific instructions	https://www.fundect.ms.gov.br/editais/abertos/abertos_naciona
Contact details	<u></u> <u>catc@fundect.ms.gov.br</u>

## ANNEX III – ITEMS FUNDABLE BY CNPq

1.1 – The funding contributed by CNPq under this Call will be used to pay for running costs and scholarships, as follows:

#### **1.2 – Running costs**

a) Consumables;

b) Third-party services – payment in full or in part to individuals or companies;

c) Import duty;

d) Travel expenses including per diems, as per CNPq's scheduled for per diems for individual grants and short scholarships.

1.2.1 – Payments to individuals must comply with the applicable legislation to avoid establishing an employment relationship.

1.2.2 – Personnel engaged to perform paid work during execution of the project must not have any kind of affiliation with CNPq and will not be allowed to receive payment from CNPq but will be the sole responsibility of the Principal Investigator and the executing institution for the project.

1.2.3 – Payment of publication expenses must prioritize the open-access model.

#### 1.3 – Scholarships

1.3.1 – The following types of scholarship will be awarded:

RN-017/2006 – Scholarships by Quota in Brazil

- a) Technical Support (AT)
- b) Scientific Initiation (IC)

#### RN-028/2015 – Individual Scholarships in Brazil

- a) Visiting Researcher (PV)
- b) Junior Postdoc (PDJ)
- c) Senior Postdoc (PDS)
- d) Corporate Postdoc (PDI)

RN-015/2010 – Scholarships for Technological Development and Innovative Extension

- a) Technological and Industrial Development (DTI)
- b) Technological and Industrial Initiation (ITI)
- c) Visiting Specialist (EV)
- d) Extension in Brazil (EXP)
- e) Technical Support for Extension in Brazil (ATP)
- f) Scholarship for Visiting Specialist (BEV)

1.3.2. The scholarships will be awarded in accordance with the timetables and criteria stipulated in CNPq's applicable rules and regulations.

1.3.3. Scholarships may not last longer than execution of the project.

1.3.4. Scholarships may not be used to pay for services rendered. Doing so configures non-compliance with the rules for use of CNPq's scholarships.

1.3.5. The Principal Investigator (PI) is responsible for nominating researchers for scholarships after he or she signs the Grant Agreement.

1.3.6. The PI for an approved project may not be awarded a scholarship in connection with that project.

1.4 – The following expenses will not be accepted:

a) certificates, decorations, cocktails, food, shows or artistic performances of any kind;

b) routine expenses involving utility bills, considered mandatory matching contributions from the institution that executes the project;

c) mail and copies, except those directly needed for project execution;

d) administration and management fees of any kind;

e) construction and engineering work, except installation and adaptation to ensure adequate operation of equipment, in which case the detailed budget for the proposal must justify these expenses;

f) purchase of motor vehicles;

g) salaries or bonuses for technical and administrative staff or any other advantages for personnel employed by public institutions (federal, state and municipal);

h) payment from any source of funding for services provided by an active public agent.

1.4.1 – The proponent, the partner FAP that supports the project and the executing institution will be responsible for all other expenses, each being accountable for its respective actions.

1.5 – Payment for goods and services purchased, leased or rented must comply with CNPq's Normative Resolution (RN) 914/2022, which governs use of funds and accountability.

1.6 – CNPq cannot be called upon to supplement funding in order to cover the costs of any factors outside its control, such as exchange-rate fluctuation.

FAP	Amount per FAP	Funding from CNPq	Running costs CNPq	Scholarships CNPq	Amount CNPq+FAP	Amount FAP w/out CNPq
FAPAC	50,000.00	190,000,00	63,333.33	126,666.67	240,000.00	
FAPEAL	150,000.00	0.00				150,000.00
FAPEAP	300,000.00	1,140,000.00	380,000.00	760,000.00	1,440,000.00	
FAPEAM	2,000,000.00	5,700,000.00	1,900,000.00	3,800,000,00	7,700,000.00	
FAPDF	500,000.00	0.00				500,000.00
FAPEG	600,000.00	0.00				600,000.00
FAPEMA	400,000.00	1,900,000.00	633,333.33	1,266,666.67	2,300,000.00	

1.7 – CNPq cannot transfer unused funding from one FAP to another.

TOTAL FOR	R THIS CALL				59,200	,000.00
TOTAL	29,650,000.00	30,000,000.00	10,000,000.00	20,000,000.00	39,450,000.00	20,400,000.00
	200,000.00	100,000.00	200,000.00	000,000.07		
FAPERR	200,000.00	760,000.00	253,333.33	506,666.67	960,000.00	
FAPT	450,000.00	1,710,000.00	570,000.00	1,140,000.00	2,160,000.00	
FAPESP	12.700,000.00	0.00				12,700,000.00
FAPERO	400,000.00	1,520,000.00	506,666.67	1,013,333.33	1,920,000.00	
FAPERGS	600,000.00	0.00				600,000.00
FAPERJ	1,000,000.00	0.00				1,000,000.00
FACEPE	150,000.00	0.00				150,000.00
Araucária	4,000,000.00	0.00				4,000,000.00
FAPESQ	200,000.00	0.00				200,000.00
FAPESPA	3,000,000.00	11,380,000.00	3,793,333.33	7,586,666.67	14,380,000.00	
FUNDECT	500,000.00	0.00				500,000.00
FAPEMAT	2,000,000.00	5,700,000.00	1,900,000.00	3,800,000,00	7,700,000.00	

Foreign Agency	Amount per Agency
British Council	£ 1,000,000.00 (one million British pounds)
Bavarian Academic Center for Latin America	€ 30,000.00 (thirty thousand euros)
(BAYLAT)	
Swiss National Science Foundation (SNSF)	CHF 1,000,000.00 (one million Swiss francs)
UK Research and Innovation (UKRI)	£ 4,000,000.00 (four million British pounds)

## <u>Annex IV – Research Proposal Template</u>

Call for Proposals – Scientific Expeditions

<u>Important:</u> Each project is unique to the team concerned for all states involved, as per item 7.9 of the Call.

To facilitate analysis by the reviewers, please type the text with 1.5 line spacing, Times New Roman or Arial or an equivalent font, font size 12, 3 cm left margin and 1.5 cm right margin. Figures and tables, if any, should have an explanatory caption or legend, and should be numbered and referenced in the text.

The proposal should not be more than 20 pages long, apart from attachments and references. Use of this research project proposal template is **mandatory**. Proposals submitted in a different format without proper justification may be disqualified without any right to assessment on their merits.

<ol> <li>General information</li> </ol>
---

Project title in Portuguese:	
Project title in English:	

Principal Investigator (1 per participating state – enter full name)	Education and research institution	Email	Telephone number	FAP to which application is being made for funding
Researcher Legal Amazonia				

(more lines may be inserted if more states are involved in the proposal)

Term (duration of project in months)
Abstract (up to 300 words)
Keywords

2. Project team

List all team members with details (name, institution, full or part time, specialty, role and contribution to project goals). *State expected contribution of each researcher and team to each project goal*, as well as who will be responsible for meeting the requirements of item 4.2 of the Call:

2.1 - PIQCT member(s)

2.2 - Strategy for attracting and training human resources

2.3 - Member(s) responsible for science communication plan

3. Statement of problem: What is the problem to be addressed by the project and what is its importance? What is its connection with the Call? (3 pages)

a. How does the project address one or more of the priority issues listed in **Item 3.1 of the Call?** 

## Priority issue(s) addressed by the proposal:

b. How does the project address one or more of the issues described in **item 5.4 of the Call?** 

(i) How does the proposal deal with spatial bias in data?

(ii) How does the proposal deal with taxonomic bias?

(iii) Plan for accessing the study area.

(iv) Plan for depositing material in the region's archives.

(v) Comunication plan.

4. Expected output: What will be created or produced as a result of the proposed project?

5. Scientific and technological challenges and the **methodology** to meet them: Describe the scientific and technological challenges the project will overcome in order to achieve its goals, and the **means and methods** it will use to do so. Cite references that can hekp the reviewers who analyze the proposal understand that the challenges mentioned have not yet (or not adequately) been surmounted and that they can be successfully overcome using the means and methods proposed.

6. Timetable: When will the project be completed? Define the stages of development that can be used to measure the project's progress and when it will be completed. If the project is part of another, more comprehensive project that is already in progress, estimate the time only for the project proposed here.

7. Other kinds of support: Note other ways in which the project will be supported, if any, in funding, goods or services, but excluding items such as the already available facilities of the executing institution. Bear in mind that the authors of selected proposals must file an official letter signed by the institution's senior executive consenting to use of the additional funds and assets described in the proposal.

8. Consolidated budget:

Complete the table below to itemize the total budget for the proposal (consolidated budget), showing the amount applied for to each FAP, CNPq and any multilateral or foreign funder. The budget applied for to each FAP is expected to reflect the intensity of the participation by each team.

NB: If the proposal is approved by the Appraisal Committee, a detailed budget as per Annex V must be sent to each FAP or overseas body involved, in accordance with the respective organization's rules and funding procedures, so that grant agreements and other contracts can be drawn up and signed.

Principal Investigator (1 per participating state)	FAP applied to for funding	Amount applied for from FAP (scholarships, capital, running costs, overhead etc.)	Amount applied for from CNPq – <b>only</b> <b>researchers at</b> <b>institutions in Legal</b> <b>Amazonia</b> (scholarships, capital, running costs, overhead etc.)
	Overseas funding agency (if applicable)		
TOTAL			
TOTAL BUDGET FOR PROPOSAL (all agencies involved – minimum of BRL			

The total (consolidated) budget for all funding agencies involved in the proposal is:

400,000.00, as per item 6.5 of Call notice)	

## ANNEX V – Detailed Individual Budget

**Complete the table below to itemize the individual budget applied for to each FAP and any multilateral or foreign funder.** The budget applied for to each FAP is expected to reflect the intensity of the participation by each team.

**NB:** As required by the Call (item 7.2.3), the itemized budget submitted to CNPq must be entered directly into the proposal form (Formulário de Proposta) on the Carlos Chagas platform.

Each FAP and overseas or multilateral organization has its own funding rules and fundable items. Researchers in each state are responsible for reading the rules concerned, as per Annex II of this Call, before they enter the budget information required here. Questions should be addressed to the FAP concerned using the email addresses in Annex I.

NB: If the proposal is approved by the Appraisal Committee, the detailed budget must be sent to every FAP involved in the proposal, in accordance with its funding norms and rules.

Complete one table for every FAP and overseas body involved in the proposal. Remember to include more for per diems and travel than will be required for expeditions so as to comply with item 10.1 (participation in workshops held by Amazon+10 Initiative). Include a short justification for each item, so that the ad hoc reviewers and Appraisal Committee can judge the proposal's merits and alignment with the goals of the initiative.

FAP 1/overseas organization						
ltem requested	Justification	Quantity	Amount			
TOTAL AMOUNT REQUESTED FROM THIS FAP/AGENCY			BRL			

#### ANNEX VI – ASSESSMENT CRITERIA

1. The following criteria will be used to score proposals on alignment with the Call, technical and scientific merit, and budget adequacy:

Criteria for analysis and appraisal			score
A. Alignment with Call	a.1. Alignment with priority areas listed in item 3 of Call.	1	1-5
B. Scientific quality	b. 1. Merit, originality and relevance to development of science, technology and innovation in Legal Amazonia	1	1-5
	b. 2. Adequacy of methodology, technical feasibility and budget	1	1-5
	b. 3. Potential to surmount spatial and taxonomic biases	2	1-5
	b. 4. Quality of territorial access plan and plan to deposit material in region's archives		1-5
	b. 5. Quality of communication plan	2	1-5
C. Quality and balance of	c.1. Fit between project goals and team's capabilities/experience	1	1-5
project team	c.2. Fit between project goals and choice of PIQCT representatives and person responsible for communication plan; clarity of description of their roles in project execution	2	1-5
	c.3. Quality and clarity of human resources strategy for region		1-5
	c. 4. Equilibrium of institutional cooperation among and with project partners (division of responsibilities among participating institutions)	1	1-5

**1.1.** Scores can be awarded to two decimal places.

**1.2.** The final score for each proposal will be the weighted average of the scores for all items.

**1.3.** In the event of a tie, the Appraisal Committee will approve the proposal with the higher aggregate score for b.3, b.4 and b.5. If the tie persists, it will select the proposal with the higher score for c.2.

## ANNEX VII – IMPLEMENTATION AND EXECUTION OF APPROVED PROPOSALS – CNPQ

1. Approved proposals will be supported by Individual Grants in the proponent's name, requiring signature of a GRANT AGREEMENT.

2. Signature of the GRANT AGREEMENT will be subordinated to the prior existence of a Technical Cooperation Agreement between the executing institution for the project and CNPq, in accordance with RN 006/2019.

3. The proponent will have ninety (90) days to sign the GRANT AGREEMENT from the date of publication of the final decision for the Call in the Federal Gazette (DOU).

3.1. The 90-day period stipulated in this item may be extended at the discretion of the Department of Institutional and International Cooperation and Innovation (DCOI) if the proponent files a justified request not less than fifteen (15) days before the end of the period.

3.2. At the end of the 90-day period or its extension, the right to funding will expire unless the proponent has signed the GRANT AGREEMENT. In this event, CNPq may support, in the order of their classification, proponents whose proposals have been approved but have not been contracted for owing to the funding cap for this Call.

3.3. Exceptionally, the 90-day period may be extended by CNPq's Executive Board in response to a justified request submitted by the Call administrator not less than fifteen (15) days before the end of the period. In this event, extension of the period will benefit all proponents whose proposals have been approved.

4. Projects supported under this Call must be executed in a maximum of thirty-six (36) months.

4.1. Exceptionally, the execution period for projects may be extended at CNPq's discretion in response to a justified request from the proponent.

5. Throughout execution of the project, the proponent must maintain all the conditions presented in the proposal in terms of the qualification, capabilities and probity required for successful achievement of its goals, and must also keep the applicable registration details up to date.

6. Any records of default by a proponent to CNPq, the Federal Revenue and/or SIAFI will completely rule out approval of the proposal in question.

6.1. If such default is discovered after the proposal is approved and contracted for, no funding will be disbursed during execution of the project.

7. Information generated by implementation of the selected proposals and posted to CNPq's database will be considered open to the public, in compliance with the following caveats.

7.1. Access to projects approved under this Call and technical reports filed by the researchers and scholarship holders with CNPq containing information on projects in progress will be restricted until final approval by CNPq is formally enacted (Law 12527/11, article 7 (3), and Decree 7724/2012, article 20).

7.2. Proponents whose projects are submitted to CNPq and proponents who file with CNPq technical reports that may fully or partially produce results that may potentially be patented as inventions, utility models, industrial designs, computer programs or any other kind of intellectual property rights must express an interest in restricting access to them on submitting the project and/or filing the technical report in question.

7.2.1. These secrecy and public access restriction obligations will remain in force for a period of five (5) years from the respective requests.

7.2.2. The possibility of restricted access will not limit the responsibility of researchers, or their teams and institutions, as members of the research community, to make the results of their research, including their data and collections, available to other researchers for academic purposes whenever possible.

7.3. The institutions involved must ensure that their employees, public agents and contractors with access to the restricted access information have agreed to comply with the obligation to keep access to the information restricted.

7.4. CNPq will disclose primary information on all projects at its discretion, such as titles, abstracts, purposes, proponents, executing institutions, and funding awarded by CNPq.

8. The award of financial support may be canceled by CNPq's Executive Board in a duly justified decision if an event serious enough to justify cancellation occurs during project implementation, without prejudice to other applicable measures.

9. Each proponent is solely responsible for taking all measures to obtain the special ethical and legal authorizations required to execute the project.

## ANNEX VIII – ACCOUNTABILITY AND FINAL ASSESSMENT – CNPQ

1. The Principal Investigator for each project must file the Execution Report (REO) online with CNPq, using the specific form provided for this purpose, within sixty (60) days of the expiration of the respective CNPq process, in compliance with the GRANT AGREEMENT and RN 914/2022, on pain of having to reimburse the funding disbursed by CNPq, as well as other penalties stipulated in the Special Accounting legislation.

1.1. All fields in the REO must be duly completed.

1.2. The REO must contain the following:

a) A description of the activities conducted to achieve the project's goals and targets;

b) A specific demonstration of how the activities and results meet the goals and targets;

c) A comparison between the goals set and the goals met, with justifications for any discrepancies in the period to which the REO refers;

d) Information on the execution of science diffusion activities in texts, access links, electronic addresses, photographs, videos and audio, among other outputs that can be accessed in public repositories and used by CNPq in its institutional communication activities.

1.3. The proponent or Principal Investigator must attach to the REO a file containing:

a) A declaration that the funding has been used solely to execute the project, accompanied by proof that any unused funds have been returned;

b) A list of goods acquired, developed or produced, if any, with documents proving that they have been incorporated into the property of the executing institution for the project;

c) An evaluation of the results;

d) A consolidated statement of transpositions, redeployments or transfers of resources, if any.

2. If the REO is not approved or is deemed to present signs of irregularity, CNPq will ask the beneficiary to submit a Financial Execution Report (REF), accompanied by digitized receipts corresponding to expenses and the other documents specified in RN 914/2022.

2.1. At CNPq's discretion, the beneficiary may be required to submit the REF regardless of whether the REO is rejected.

2.2. Original receipts and other comprobatory documents must be kept by the Principal Investigator for five (5) years as of the date of approval of the final accounts and reports.

## ANNEX IX – GUIDELINES FOR PROPOSERS FROM FOREIGN AGENCIES

BAYLAT	Bavarian University Center for Latin America
Eligibility	The <b>proponent</b> must:
criteria	a) Hold a PhD degree and have a formal employment with a scientific and
	technological institution (ICT) or a non-profit public or private higher education
	institution in Bavaria;
	b) Have academic, scientific, and/or professional production with demonstrated
	competence in the proposed area;
	c) have a detailed curriculum vitae on the Brazilian curriculum platform Lattes
	(www.lattes.com).
Funding	Research grant
modalities	
Available	30,000 € (Thirty Thousand Euros)
resources	
Proposal	Approximately 5,000 € per person
submission	
Required	Copy of Passport and letter of consent from the institution of affiliation.
documents	
Link to specifi	c https://www.gesetze-bayern.de/Content/Document/BayHO
guidelines	https://www.gesetze-bayern.de/Content/Document/BayRKG/true
Contact	baylat-fapesp@fau.de; polliana.sattler@fau.de; randery@fapesp.br
information:	

Funding Agency	British Council on behalf of the International Science Partnerships
	Fund
Eligibility	UK <b>Project Lead</b> must fulfil the following criteria in order to be eligible for funding under this Programme. For that purpose, they shall:
	a) be Recognised Researchers (PhD holders or equivalent who are not yet fully independent) or Established Researchers (Researchers who have developed a level of independence)
	b) be permanent employees of one of the following (this means that Emeritus and Honorary Professors may not apply as lead):
	• A not-for-profit higher education institution with the capacity to undertake high-quality- research.
	• A UK higher education institution (all UK higher education institutions are eligible).
	• A not-for-profit research organisation with the capacity to undertake high-quality research (in the case of the UK Principal Applicant).
	• Verify their institution (the 'UK Lead Institutions') have the capacity to administer the grant.
	• Not be from organisations affiliated to higher education institutions in the UK or any other country and based in Brazil, (e.g., an overseas campus) which are not eligible for ISPF awarded grants.
	If you are unsure about your organisation's eligibility, for UK see the list of eligible UK institutions <u>here</u> .
	UK <b>Project Leads</b> may only submit one application under this call.
	Eligibility checks will be applied to all proposals. Proposals which are not led by a recognised not-for-profit higher education institution or a publicly funded research organisation) will be rejected during these checks.
	The UK component of all proposals <b>must</b> be compliant with <b>Official Development Assistance (ODA)</b> guidelines (see ODA section below).

Scope and Research	This funding opportunity is led by the Brazilian agencies National
Priorities	Council of Scientific and Technological Development (CNPq) and
	Brazilian National Council for the State Funding Agencies (CONFAP)
	and forms a part of the wider <u>Amazon+10 initiative</u> . The funding
	opportunity aims to support research and technological development in
	Legal Amazonia, focusing on a deeper understanding of nature-society
	interactions for sustainable and inclusive development in the
	region. The British Council are acting as an international funding
	agency in this Brazil-led funding opportunity, and we will fund UK
	researchers to collaborate with Brazilian partners in joint projects.
	As listed in the funding opportunity, teams should propose data collection, biological and mineral specimens, pieces of native and
	popular culture, present and past, focusing on one or more of the priority themes described below:
	phonty memes described below.
	• Understanding habitats and their species;
	• Study of biodiversity as a source of livelihood and potential for bioeconomy;
	• Preservation and recovery of natural capital;
	• Studies of the material and immaterial heritage of ancestral, indigenous and traditional people and their associated knowledge, ethnographies;
	• Documentation and preservation of Amazonian indigenous languages and associated knowledge systems;
	• Studies on sustainable use of natural resources, institutional arrangements for conservation, and territorial dynamics of indigenous and traditional people;
	• Integration of field data with emerging technologies.
Budget and	The British Council has £1,000,000 GBP (One million pounds sterling)
duration	available through the International Science Partnerships Fund to
	support UK researchers' participation in this funding opportunity.
	Individual proposals may request a budget ranging between £100,000
	and $\pounds 125,000$ (full economic cost) to support the UK component of
	collaborative projects.
	The duration of the UK award is two years. Successful UK projects must start by <b>1 November 2024</b> .

[	
	The ISPF is designed to enable potential and foster prosperity through
	research and innovation partnerships by supporting UK researchers and
	innovators to work with peers around the world on the major themes of
	our time: planet, health, tech, and talent.
	The f227m fund is managed by the Department for Science Innovation
	The £337m fund is managed by the Department for Science, Innovation and Technology and delivered by a consortium of the UK's leading
	research and innovation bodies, which includes: UK Research and
	Innovation (comprising the 7 research councils, Innovate UK and
	Research England), the UK Academies, the British Council, the Met
	Office, the National Physical Laboratory, the UK Atomic Energy
	Authority, and Universities UK International. For further information
	visit <u>International Science Partnerships Fund</u> participation in this
	funding opportunity.
Eligible costs	International Science Partnerships Fund grants delivered by the British
Engible costs	Council are intended to contribute to the costs of establishing and
	operating your collaboration, (i.e., costs directly related to
	implementing activities contained in the proposal). Please contact <u>ISPF-</u>
	<u>Amazonia@britishcouncil.org</u> if you are in doubt which costs the ISPF
	can and cannot cover.
	Full details of eligible and ineligible costs can be found below and on
	the <u>Scientific Expeditions Budget form</u> .
	The UK component of applications should be costed on the basis of full
	economic costs (fEC). If the grant is awarded, the British Council will
	provide funding on the basis of 80% of fEC.
	Eligible costs will include:
	Staff costs
	'Directly Allocated staff' are those who will be working directly on the
	project, but whose involvement on the grant can only be based on an
	estimate of the time the work will take (e.g., investigators). 'Directly
	Incurred staff' are those whose time on the project is actual, auditable
	and verifiable (e.g., researchers and technicians).
	Travel and subsistence costs
	Add each item of Travel and Subsistence required for your project. You
	should indicate the calculations upon which this figure is based.

#### Other directly incurred costs

Including specified consumables, consultancy fees, equipment costing less than £10,000, recruitment and advertising costs to be incurred by the UK Research Organisation.

## Other directly allocated costs

Including support staff salaries, a share of the costs of departmental support staff, and the costs of access to major research facilities.

## **Estates and indirect costs**

Estate and indirect costs are specific to each UK research organisation, and do not require justification. UK research organisations that have implemented the TRAC costing methodology and have passed the Quality Assurance process should apply their own estate and indirect costs. Non-research organisations that are not required to implement TRAC must have a robust costing methodology in place that has been validated in order to apply their own estates and indirect cost rates. The standard default rates should be used where research organisations have not yet developed their own rates.

## Ineligible costs include (but are not limited to):

Equipment costs Individual items costing £10,000 or more (including VAT) are not eligible under this call.

Studentships Costs

associated with master's and PhD studentships are not eligible under this call (including stipends).

## **UK Research and Innovation**

UKRI are also supporting UK participation in the Amazon+10 initiative including providing funding for UK researchers to participate in this funding opportunity. While a joint Brazil-UK project could apply to both the British Council and UKRI to fund the UK components, we would not expect individual UK researchers to request funding from both the British Council and UKRI in the same joint project. Applications for funds to the British Council and UKRI must be

Safeguarding Diversity	<ul> <li>complementary rather than duplicative. For example, applicants could request costs for a Project Lead from UKRI and a Co Lead from the British Council but could not request costs for the Project Lead from both the British Council and UKRI. Applications involving both the British Council and UKRI must ensure they meet all relevant eligibility criteria.</li> <li>British Council condemn all forms of harm and abuse, including bullying and harassment. We take a zero-tolerance approach to harm and abuse to any individual employed through or associated with our programmes in all contexts; whether in humanitarian or fragile and conflict-affected settings, in other field contexts, or within the international or UK research and development community which we fund. We expect organisations to promote the highest standards in organisational culture and have in place the systems and procedures required to prevent and tackle all incidents of harm and abuse. Applications should detail how they will identify and manage safeguarding risks within their projects.</li> <li>The British Council is committed to equality, diversity and inclusion in all our work. This includes avoidance of bias due to gender, disability, racial or athnic origin savual orientation or religious belief. We</li> </ul>
	<ul> <li>racial or ethnic origin, sexual orientation, or religious belief. We encourage applications from a diverse range of researchers.</li> <li>We support people to work in a way that suits their personal circumstances. This includes:</li> <li>career breaks</li> </ul>
	<ul> <li>support for people with caring responsibilities</li> <li>flexible working</li> <li>alternative working patterns</li> </ul>
	Project Leads may apply for funding to cover extra costs for participation. Please email <u>ISPF-Amazonia@britishcouncil.org</u> with requested costs for consideration and using your last name first name and using your project title in English. The subject line of the e-mail should read 'Scientific Expeditions'. Please contact us at <u>ISPF-Amazonia@britishcouncil.org</u> for further information on funding and for more on the British Council's approach, see our <u>Equality Policy</u> .
Gender Equality	According to the <u>International Development (Gender Equality) Act</u> 2014, applications must outline how they have taken meaningful yet

proportionate consideration as to how the project will contribute to
reducing gender inequalities.
The British Council reserve the right to reject the application if no
consideration has been given to gender equality or if the proposal is
assessed to result in a negative impact for gender equality.
It is essential that all legal and professional codes of practice are
followed in conducting work supported by this Programme. Applicants
must ensure the proposed activity will be carried out to the highest
standards of ethics and research integrity. Applicants must consider any
potential ethical and health and safety issues and how they will be
addressed, ensuring that all necessary ethical approval is in place before
the project commences and all risks are minimised. Please refer to the
Research Councils UK 'Policy and Guidelines on Governance of Good
Research, the Inter Academy Partnership report 'Doing Global Science:
A Guide to Responsible Conduct in the Global Research Enterprise' or
contact us for further guidance.
Any collection and exchange of biological and genetic materials
between collaborating institutions must be undertaken in strict
compliance with the relevant access and benefits sharing legislation in
effect in the UK and Brazil. The Nagoya Protocol provides a framework
for the fair and equitable sharing of benefits arising from the use of
genetic resources. UK researchers can find further UK national
guidance on the UK Government website.

Official Development Assistance (ODA) funding compliance	For the purpose of this funding opportunity, we define activities leading to impact with development relevance as activities that have the potential to contribute to the economic development and social welfare of low and middle-income countries, benefitting low income and/or vulnerable populations in these countries. In order to be considered for funding under this call, <b>all proposals must</b> <b>clearly demonstrate a primary focus on development and articulate</b> <b>a plausible route to positive impact within a short to medium term</b> <b>timeframe (within 10 years). Applications which do not meet this</b> <b>criterion won't be deemed eligible.</b> In some disciplines, development relevance can be longer term and less direct than in other areas. In all cases, it is the responsibility of the
	applicant to articulate within the application how the proposed activities will meet these criteria. For further information, please visit <u>ODA</u> . Failure to demonstrate ODA eligibility will render your application ineligible regardless of other success criteria. Please make sure you consider the ODA relevance of your application. A strong case for your proposal must be made.
Data protection	The British Council will use the information that you are providing in connection with processing your application under the International Science Partnerships Fund (ISPF) programme. The legal basis for processing your information is your agreement with our terms and conditions of application. Your information will be used in making any consequential grant, grant payment, and for monitoring and reporting purposes. We will need to share necessary data with application reviewers and panel members contracted by the British Council, international partners, the funder UK Department of Science, Innovation & Technology (DSIT) and contracted external evaluators (as required). Organisation details, where collected, are used for monitoring and evaluation and statistical purposes. Equality data and geographical information, where collected, is used solely in preparing statistical reports.

The British Council complies with data protection law in the UK and laws in other countries that meet internationally accepted standards. You have the right to ask for a copy of the information we hold on you, and the right to ask us to correct any inaccuracies in that information.

If you have concerns about how we have used your personal information, you also have the right to complain to a privacy regulator. For further detailed information on how we process personal information, please refer to the <u>privacy section</u> of our website or contact us on <u>ISPF-Amazonia@britishcouncil.org</u>. We will keep your information for a period of 7 years from the date of your last activity under the ISPF programme.

## **Applicant screening**

In order to comply with UK government legislation, the British Council may at any point during the application process, carry out searches of relevant third-party screening databases to ensure that neither the applicant nor any of the applicant's employees, partners, directors, shareholders is listed:

- as an individual or entity with whom national or supranational bodies have decreed organisations should not have financial dealings;
- as being wanted by Interpol or any national law enforcement body in connection with crime;
- as being subject to regulatory action by a national or international enforcement body;
- as being subject to export, trade or procurement controls or (in the case of an individual) as being disqualified from being a company director; and/or
- as being a heightened risk individual or organisation, or (in the case of an individual) a politically exposed person.
- If the applicant or any other party is listed in a Screening Database for any of the reasons set out above, the British Council will assess the applicant as ineligible to apply for this grant call.

The applicant must provide the British Council with all information reasonably requested by the British Council to complete the screening searches. Please read the text to this effect on the <u>British Council</u>

	Additional Information form and tick the box to show that you understand this.
<b>Reporting</b> requirements	Monitoring and evaluation will be in line with the British Council ISPF reporting requirements. Successful applicants will be required to complete interim and final reports. To enable a case study to be written about the award, awardees will be asked to engage in follow-up monitoring and evaluation of the project for up to 5 years beyond its end date. The British Council reserves the right to include additional monitoring requirements. More information will be provided to successful award holder.
	British Council researchers will also be expected to support Brazilian colleagues in submitting reports required by Brazilian funding agencies.
Submission process	<ul> <li>All joint UK-Brazil collaborative applications should be submitted through to CNPq via the <u>Carlos Chagas Integrated Platform</u>.</li> <li>The joint application should be submitted by a Project Lead formally affiliated with an institution located in one of the states of Legal Amazonia in Brazil (Amazonas, Acre, Rondônia, Roraima, Pará, Maranhão, Amapá, Tocantins, and Mato Grosso) on behalf of all institutions and researchers collaborating on the joint project as described in the section 7 of the main funding opportunity.</li> <li>The British Council is acting as an international funding agency in this Brazil-led funding opportunity, and we will fund the British Council component of joint UK-Brazil projects.</li> <li>UK lead applicants are required to fill out the <i>British Council Additional Information form</i> and attach within the same form the documents listed below. This <i>British Council Additional Information form</i>, which should not exceed 1MB, should be uploaded by their Brazilian counterpart onto the <u>Carlos Chagas platform</u>, in the 'Plan' field of the submission form in your joint application to CNPq, by the deadline set for this call.</li> <li>The documents are: <ul> <li>English translation of the completed Research Proposal template (Annex IV in the main funding opportunity)</li> <li>UK Project Lead's CV (up to two sides of A4 each)</li> <li>Scientific Expeditions Budget Form</li> </ul> </li> </ul>

	• Letter of support from the UK Project Lead's institution in English, on headed paper, signed by the Head of Institution, Head of Department or other person with appropriate delegated authority, expressing specific commitment to the proposed project, willingness to receive funding and to sign a grant agreement with the British Council, and describing why the experience and capability of the UK Project Lead is particularly suited to the project content. Please note that supporting letters must not be signed by the UK Project Lead.
	You should clearly indicate your application is jointly prepared with Brazil by including "Brazil-British Council" as a prefix in your project title when the application is submitted to the CNPq's platform.
	UK applicants should ensure their institutions are registered with CNPq's directory of institutions to allow their applications to be processed by CNPq's platform. You can check if your institutions are already registered on <u>CNPq</u> and if your institution is not listed you could <u>register a new institution</u> .
Contractual Requirements	The successful applicants will be expected to undertake activities in the UK and in the International Science Partnerships Fund (ISPF) country, Brazil. The British Council is subject to the requirements of the UK Freedom of Information Act, ("FOIA"). Please indicate in your application whether FOIA also applies to your organisation, so that we can reflect this in the Grant Agreement should you be successful in your application.
	The British Council's contractual approach in respect of the grant (Terms and Conditions of the Grant Agreement) ("Grant Agreement") is set out here: (British Council Terms and Conditions). By submitting a response to this call for applications, you are agreeing to be bound by the terms of these guidelines and the Grant Agreement without further negotiation or amendment.
	In the event that you have any concerns or queries in relation to the Grant Agreement, you should submit a clarification request to <u>ISPF-Amazonia@britishcouncil.org</u> in accordance with the provisions of this call for applications by the application deadline. The British Council reserves the right not to make any changes to the Grant Agreement.

	The British Council is under no obligation to consider any clarifications / amendments to the Grant Agreement requested following the application deadline.
The British Council Contact	ISPF-Amazonia@britishcouncil.org

SNSF	Swiss National Science Foundation
Eligibility criteria	Swiss applicants are advised to contact the respective Brazilian Funding Agency as well as the National Contact Point in Switzerland in order to check who is eligible to apply and what costs are eligible before submitting the complete application. Please note that Swiss applicants need to submit an application to <u>mySNF</u> in parallel.
	All Swiss applicants must meet the eligibility requirements of the SNSF. The <u>SNSF</u> <u>Funding Regulations</u> , the <u>General implementation regulations</u> and the <u>Regulations</u> <u>on Project Funding</u> are applicable or applicable mutatis mutandis. Please note that private companies are not eligible for funding.
Funding modalities	A maximum amount of CHF 250,000 is allocated per project for the Swiss side. Article 8, paragraph 1 of the <u>Regulations on Project Funding</u> defines the eligible costs:
	<ul> <li>Salaries of scientific and technical staff in research projects within the scope of the salary ranges and rates prescribed by the SNSF;</li> <li>Material costs that are directly related to the research work, namely material of enduring value, expendable items, field expenses, travel expenses, third-party charges, cost of computing time and data as well as of providing open access to research data;</li> <li>Direct costs incurred through the use of research infrastructure linked to the research work;</li> <li>Costs for the organisation of conferences and workshops in connection with the funded research;</li> <li>Costs for national and international cooperation and networking activities carried out in connection with the funded research.</li> </ul>
Available resources	CHF 1,000,000.00 (One million Swiss francs)
Required documents	A copy of ID and letter of consent from the institution of affiliation is requested by Brazil.
	A Data Management Plan (DMP) is requested for approved grants according to the requirements issued by the SNSF. For more details on the DMP format requirements and procedure see: <u>Data Management Plan (DMP) - Guidelines for researchers (snf.ch)</u>

Submission All joint Switzerland-Brazil collaborative applications should be submitted through to CNPq via the <u>Carlos Chagas Integrated Platform</u>.

Swiss applicants must also submit an application via the online submission system  $\underline{mysnf}$  for the same deadline as the consortium applications are submitted. Please select the "Amazon +10" funding instrument.

Contact Laura Tejada information: Swiss National Science Foundation <u>international@snf.ch</u> +41 31 308 22 90\_

UK Research and Innovation (UKRI)	Natural Environment Research Council (NERC) and Arts and Humanities Research Council (AHRC) are delivering this funding opportunity on behalf of UK Research and Innovation (UKRI).
Eligibility	<ul> <li>You must be based at a UK or international organisation eligible for UKRI funding. Before applying for funding, check the following:</li> <li><u>NERC eligibility guidance for applicants</u></li> <li><u>Eligibility of your organisation</u></li> </ul>
	UKRI has introduced new role types for funding opportunities being run on UKRI's new Funding Service from 22 May 2023. For full details, visit <u>Eligibility as an individual</u> . The UKRI component of collaborative UK-Brazil projects are required to have a <b>Project lead</b> (previously Principal Investigator) based in a UK research organisation eligible for UKRI funding. The project may also include <b>Project Co-leads</b> (previously Co-Investigators).
	In addition, for this funding opportunity researchers who are not based in the UK or Brazil may participate in the project as <b>International Co- Leads</b> , where there is a clear rationale for doing so and should follow <u>AHRC's international co-lead policy</u> (and see eligible costs section below).
	Other collaborators that do not meet the criteria to be Project Co-Leads but would be an integral part of the project can be named as <b>Project</b> <b>Partners</b> . Non-academic partners (e.g. business, civil society sector, industries) may participate as project partners only.
	The UK component of all proposals <b>must</b> be compliant with <b>Official Development Assistance (ODA)</b> guidelines (see ODA section below).
	Equality, Diversity and Inclusion
	We are committed to achieving equality of opportunity for all funding applicants. We encourage applications from a diverse range of researchers.
	We support people to work in a way that suits their personal circumstances. This includes:

	• career breaks				
	• support for people with caring responsibilities				
	• flexible working				
	alternative working patterns				
	Find out more about <u>equality</u> , <u>diversity and inclusion at UKRI</u> and <u>NERC's diversity and inclusion action plan</u> .				
	Who is not eligible to apply				
	You may be involved in no more than two UKRI applications submitted to this funding opportunity and only one of these may be as the project lead.				
Scope and Research	This funding opportunity is led by the Brazilian agencies National				
Priorities	Council of Scientific and Technological Development (CNPq) and				
	Brazilian National Council for the State Funding Agencies (CONFA and forms a part of the wider <u>Amazon+10 initiative</u> . The funding opportunity aims to support research and technological development Legal Amazonia, focusing on a deeper understanding of nature-socied interactions for sustainable and inclusive development in the region. UKRI are acting as an international funding agency in the Brazil-led funding opportunity, and we will fund UK researchers collaborate with Brazilian partners in joint projects.				
	NERC and AHRC welcome projects that are within the scope of the funding opportunity as described in the <u>main text</u> and are within the remit of NERC, AHRC or both. We welcome multidisciplinary applications that span the remits of NERC and AHRC though it is not a requirement for funding. Details of the topics we cover can be found on the <u>NERC</u> and <u>AHRC</u> websites.				
	<ul> <li>As listed in the funding opportunity, teams should propose data collection, biological and mineral specimens, pieces of native and popular culture, present and past, focusing on one or more of the priority themes described below: <ul> <li>Understanding habitats and their species;</li> <li>Study of biodiversity as a source of livelihood and potential for bioeconomy;</li> </ul> </li> </ul>				
	• Preservation and recovery of natural capital;				

	<ul> <li>Studies of the material and immaterial heritage of ancestral, indigenous and traditional people and their associated knowledge, ethnographies;</li> <li>Documentation and preservation of Amazonian indigenous languages and associated knowledge systems;</li> <li>Studies on sustainable use of natural resources, institutional arrangements for conservation, and territorial dynamics of indigenous and traditional people;</li> <li>Integration of field data with emerging technologies.</li> </ul>			
Budget and	NERC and AHRC have up to £4m available to support UK researchers			
duration	through the International Science Partnerships Fund (ISPF).			
	The ISPF is designed to enable potential and foster prosperity through research and innovation partnerships by supporting UK researchers and innovators to work with peers around the world on the major themes of our time: planet, health, tech, and talent. The £337m fund is managed by the Department for Science, Innovation and Technology and delivered by a consortium of the UK's leading research and innovation bodies, which includes: UK Research and Innovation (comprising the 7 research councils, Innovate UK and Research England), the UK Academies, the British Council, the Met Office, the National Physical Laboratory, the UK Atomic Energy Authority, and Universities UK International. For further information visit International Partnerships Fund participation in this funding opportunity.			
	Individual proposals may request a budget up to a maximum of $\pounds 1,000,000$ (full economic cost) to support the UKRI component of collaborative projects. We welcome applications of different sizes.			
	The duration of UK award for up to a maximum of three years. Successful UKRI projects must start by <b>1 November 2024</b> .			
Eligible costs	Applications to this funding opportunity must be collaborative research proposals involving the UK and Brazil. NERC and AHRC will fund the UKRI component(s) of any successful collaboration.			

The UKRI component of applications should be costed on the basis of full economic costs (fEC). If the grant is awarded, NERC and AHRC will provide funding on the basis of 80% of fEC.

Please include all relevant UKRI costs in the application, taking particular care to include sufficient costs to support an international project such as travel and subsistence in addition to salary (Project leads, Co-leads and PDRAs) and consumables and Estates and Indirect costs. See the <u>NERC handbook</u> for details of cost headings.

Applicants can request inclusion costs in line with UKRI's commitment to equality, diversity and inclusion, and to continuing to attract and nurture talented people from the widest pool to remain internationally competitive in research and innovation. Applicants may apply for funding to cover extra costs for such participation, for example, for disabled people who may otherwise not be able to participate, or for additional childcare.

## **International Co-leads**

Costs for international Co-leads from other countries can be included in accordance with <u>AHRC's international co-lead policy</u> where it can be demonstrated that they will add value to the project. All costs incurred by the international co-lead will need to be listed as 'Exceptions' and paid at 100% of direct costs only (no Estates and Indirects costs are permitted). We would not normally expect to see salary costs for international co-leads applied for; however, we would allow it in some circumstances, for example:

- where a co-lead is paid term-time only and is expected to supplement their income for the rest of the year
- where a co-lead is required to secure external funding in order to conduct research
- where the co-lead university agrees to free up teaching time for them, provided they can secure funding for replacement teaching

Refer to section 3 of the <u>AHRC Research Funding Guide</u> for full details.

**British Council** 

The British Council are also supporting UK participation in the Amazon+10 initiative including providing funding for UK researchers to participate in this funding opportunity. While a joint Brazil-UK project could apply to both UKRI and British Council to fund the UK components, we would not expect individual UK researchers to request funding from both UKRI and British Council in the same joint project. Applications for funds to UKRI and British Council must be complementary rather than duplicative. For example, applicants could request costs for a Project Lead from UKRI and a Co Lead from British Council but could not request costs for the Project Lead from both UKRI and British Council must be project Lead from UKRI and British Council but could not request costs for the Project Lead from both UKRI and British Council must ensure they meet all relevant eligibility criteria.

## Services and facilities

UK applicants can apply to use a NERC facility in the application. You should discuss your application with the facility or service at least two months before the funding opportunity's closing date to:

- discuss the proposed work in detail
- receive confirmation that they can provide the services required within the timeframe of the funding

The facility will provide a technical assessment that includes the calculated cost of providing the service. NERC services and facilities must be costed within the limits of the funding. The facility must agree that they can be undertaken within the timeframe of the spend.

You should not submit the technical assessment with the application, but you must confirm you have received it.

For more information, see the <u>NERC research grants and fellowships</u> <u>handbook</u>.

Read the full list of <u>NERC facilities that require a technical assessment</u>.

High Performance Computing (HPC), and the large research facilities at Harwell have their own policies for access and costing.

What we will not fund

Safeguarding and ethical research	<ul> <li>PhD studentship costs (but funds may be requested for exchanges of students supported from other sources)</li> <li>requests for equipment of £10,000 and over are not part of this funding opportunity. You should request smaller items of equipment (under £10,000 individually) under 'Consumables (other directly incurred costs)' in your application</li> <li>NERC aircraft, ship time or use of NERC marine equipment</li> <li>Full consideration should be given to the relevant collaborating country's context and ethical issues in the planning and conduct of research, implementing a 'do no harm' duty of care approach. This includes languages, cultures, faiths, public engagement, legal frameworks, political and regulatory systems.</li> <li>Applications must fully address ethical and safeguarding issues, referring to local guidance and regulations where applicable. Ethical considerations are amplified in spaces of fragility and violence, requiring additional reflection and safeguarding when planning research.</li> </ul>
Transfer and use of biological and genetic materials	Any collection and exchange of biological and genetic materials between collaborating institutions must be undertaken in strict compliance with the relevant access and benefits sharing legislation in effect in the UK and Brazil. The <u>Nagoya Protocol</u> provides a framework for the fair and equitable sharing of benefits arising from the use of genetic resources. UK researchers can find further <u>UK national</u> <u>guidance</u> on the UK Government website.
Official Development Assistance (ODA) funding compliance	It is the responsibility of applicants to ODA-funded schemes to ensure that their proposal is written with " <i>the promotion of the economic</i> <i>development and welfare of developing countries as the main</i> <i>objective</i> ". It is also the responsibility of the applicant to ensure that their proposal meets the funding opportunity specification for the funding scheme they are applying for and should direct any questions to regarding this to the UK team responsible for the funding opportunity (listed in the contact section). Information about previous <u>ODA</u> <u>compliant projects in Brazil can be found here</u> .

The Official Economic Development committee (OECD) definition of
ODA can be seen <u>here</u> .
The DAC List of ODA Recipients shows all countries and territories
eligible to receive official development assistance (ODA).
In order to be eligible for this funding opportunity, applications
must make it clear that its primary purpose is to promote the
economic development and welfare of Brazil, which is a DAC List
country.
Applicants should:
• Seek to investigate a specific problem or seek a specific outcome which will have an impact on Brazil;
• Clearly articulate Brazil is the primary beneficiary of their research activities;
• Provide evidence as to why this is a problem for Brazil;
• Address the issue identified effectively and efficiently;
• Demonstrate that the research is of an internationally excellent standard;
• Identify appropriate pathways to impact to ensure that Brazil directly benefits from the research.
UKRI also expects that any research being conducted in a developing
country will be conducted through equitable partnerships and involve
thought leadership and expertise from that country. In the Amazon+10
call, it is a requirement to collaborate with researchers in Brazil and
for the team to include at least one member who own traditional
knowledge related to the chosen territory, PIQCT – Indigenous
Peoples, Quilombolas, and Traditional Communities.
Insufficient avidance of how the research meets this requirement mere
Insufficient evidence of how the research meets this requirement may lead to rejection from the scheme. However, UKRI also encourages
applicants to articulate any secondary benefits, whether that is in the
UK or another developed country.
ert of another developed country.
Gender equality
ODA provided by UKRI must comply with the requirements of the
International Development (Gender Equality) Act 2014, which states,

	the "desirability of providing development assistance that is likely to contribute to reducing poverty in a way which is likely to contribute to reducing inequalities between persons of different gender." All applications have to address this requirement and detail how meaningful, yet proportionate consideration has been taken as to how the project will contribute to reducing gender inequalities. It is expected that some projects will have less impact on gender and gender relations and professional judgement of the applicants should be exercised to ensure appropriate consideration of the context and intended aims of the project. You can reference other parts of your application within this statement, if relevant. Read <b>UKRI guidance on gender equality compliance</b> .			
Data management requirements	You must adhere to the <u>UKRI open research policy</u> and <u>NERC data</u> policy.			
requirements				
	For details of NERC data centres, see the <u>NERC Environmental Data</u> <u>Service</u> . We will pay the NERC data centre directly for archival and curation services, but you should ensure that you request sufficient resource to cover preparation of data for archiving by your research team. Additional services from the data centres, such as database development or a specialist in project data management during your project, must be discussed with the relevant data centre prior to submission and the costs included in your application.			
	Where other specific types of data are also collected, you must refer to relevant council guidance for archiving such specific data.			
<b>Reporting</b> requirements	Successful applicants will be required to report research outcomes on ResearchFish in line with standard <u>UKRI Terms and Conditions</u> . This is required annually and continues for up to five years after the end of funding.			
	Monitoring, evaluation and learning will be a key component of the programme and project teams will be required to comply with all reporting requirements on research outcomes and ODA compliance from UKRI or Department for Science, Innovation and Technology (DSIT), which will be detailed in the terms and conditions of UK			

	awards. UKRI researchers will also be expected to support Brazilian				
	colleagues in submitting reports required by Brazilian funding agencies.				
Submission process	All joint UK-Brazil collaborative applications should be submitted through to CNPq via the Carlos Chagas Integrated Platform.				
	The joint application should be submitted by a Principal Investigator formally affiliated with an institution located in one of the states of Legal Amazonia in Brazil (Amazonas, Acre, Rondônia, Roraima, Pará, Maranhão, Amapá, Tocantins, and Mato Grosso) on behalf of all institutions and researchers collaborating on the joint project as described in the section 7 of the main funding opportunity.				
	UKRI are acting as an international funding agency in this Brazil-led funding opportunity, and we will fund the UKRI component of joint UK-Brazil projects. No documents should be submitted to UKRI or NERC at the submission for assessment stage.				
	Following the completion of the assessment process, UK applicants of successful joint projects will be invited to submit details of the UKRI component to NERC through the UKRI Funding Service.				
	You should clearly indicate your application is jointly prepared with Brazil by including "Brazil-UKRI" as a prefix in your project title when the application is submitted to the CNPq's platform.				
	UK applicants should ensure their institutions are registered with CNPq's directory of institutions to allow their applications to be processed by CNPq's platform. You can check if your institutions are already registered on the <u>CNPq website</u> and if your institution is not listed you could <u>register a new institution</u> .				
Additional	In addition to the jointly prepared research application form and detailed				
documents required	budget for each Brazilian state Research Funding Agency (FAP) and CNPq as requested in the main funding opportunity, as UK applicants you must submit the following mandatory additional information as a single document in the 'Plan' field of the submission form in your joint application to CNPq. Applications submitted without the following information will be office rejected:				

# **1. English translation of the completed Research Proposal template** (Annex IV in the main funding opportunity)

## 2. ODA compliance statement

Up to 1 page of A4. This should describe how the proposed project meets the ODA requirements of this funding opportunity by answering the following questions:

- Seek to investigate a specific problem or seek a specific outcome which will have an impact on Brazil;
- Clearly articulate Brazil is the primary beneficiary of their research activities;
- Provide evidence as to why this is a problem for Brazil;
- Address the issue identified effectively and efficiently;
- Demonstrate that the research is of an internationally excellent standard;
- Identify appropriate pathways to impact to ensure that Brazil directly benefits from the research.

## 3. Gender equality statement

Up to one side of A4. The statement should describe how the proposed project contributes to reducing inequality between people of different genders. Further, the statement should explain:

- what measures have been put in place to ensure equal and meaningful opportunities for people of different genders to be involved throughout the project; this includes the development of the project, the participants of the research and the beneficiaries of the research
- the expected impact of the project (benefits and losses) on people of different genders, both throughout the project and beyond
- the impact on the relations between people of different genders and people of the same gender; for example, changing roles and responsibilities in households, society, economy, politics, power
- how any risks and unintended negative consequences on gender equality will be avoided or mitigated against, and monitored

• whether there are any relevant outcomes and outputs being measured, with data disaggregated by age and gender (where disclosed)

## 4. Safeguarding and ethical research

Up to one page of A4. You should describe the ethical or Responsible Research and Innovation (RRI) implications and issues relating to the proposed work. If you do not think that the proposed work raises any ethical or RRI issues, explain why.

You need to demonstrate that you have identified and evaluated the relevant ethical or responsible research and innovation considerations, and how you will manage them. This should include how your project will manage:

- the safeguarding of participants and researchers
- risks and sensitivities relating to the context in which research is being undertaken
- prevention of harm
- inequalities in power

## 5. UKRI budget form and justification

Up to 2 pages of A4. Please use the template at the Annex X and available on the UKRI funding finder.

A common Justification of Resources covering all UK Research Organisations requesting funding from UKRI should cover the following:

- Directly Incurred costs
- estates
- investigator effort
- use of pool staff resources
- any access to shared facilities and equipment

For any joint applications involving both UKRI and British Council, you should only include costs associated with the UKRI component.

	Detailed costs or line-by-line breakdowns of all project resources are not required. You should, however, demonstrate how the resources you				
	anticipate needing for your proposed work:				
	<ul> <li>are comprehensive, appropriate, and justified</li> <li>represent the optimal use of resources to achieve the intended outcomes</li> <li>maximise potential outcomes and impacts</li> </ul>				
	Costings should be justified on the basis of <u>full economic costs (FEC)</u> of the project, not just on the costs expected from UKRI. For some items we do not expect you to justify the monetary value, rather the type of resource, such as amount of time or type of staff requested. Where you do not provide adequate justification for a resource, we may deduct it from any funding awarded.				
UKRI Contacts	If you have a question relating to the UKRI component of your application, please contact				
	Lucy Hopewell, NERC Konrad Mould, AHRC				
	Amazon@nerc.ukri.org				

## ANNEX X – DETAILED BUDGET FOR PROPOSERS TO UKRI

#### **UKRI Budget Information**

## <to include link to UKRI Funding finder word document>

#### UK Organisation where the Grant would be held

Organisation	Research Organisation Reference:	
Division or Department		

## Summary of Resources Required for Project

#### **Financial resources**

Summary fund heading	Fund heading	Full economic Cost	NERC contribution	% NERC contribution
Directly Incurred	Staff	0.00	0.00	80
	Travel & Subsistence	0.00	0.00	80
	Equipment	0.00	0.00	Enter%
	Other Costs	0.00	0.00	80
	Sub-total	0.00	0.00	
Directly Allocated	Investigator s	0.00	0.00	80
	Estates Costs	0.00	0.00	80
	Other Directly Allocated	0.00	0.00	80
	Sub-total	0.00	0.00	

#### Summary of staff effort requested

	Months
Investigator	0
Researcher	0
Technician	0
Other	0
Visiting Researcher	0
Student	0
Total	0

Indirect Costs	Indirect Costs	0.00	0.00	80
	Total	0.00	0.00	